

Can the Drake Passage affect the ENSO variability?

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Ocean-Land-Atmosphere Research

April 5, 2026

Submitted

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ABSTRACT

19 This study investigates the role of the opening of the Drake Passage (DP) in El Niño–
20 Southern Oscillation (ENSO) variability using fully coupled model experiments with the DP
21 closed (DPC) and open (DPO). The opening of the DP leads to the establishment of the Antarctic
22 Circumpolar Current and strengthening of the Atlantic Meridional Overturning Circulation,
23 warming the Northern Hemisphere and cooling the Southern Hemisphere. This causes the
24 southern branch of the Hadley cell to shift northward and intensify, strengthens the Southern
25 Hemisphere trade winds, and leads to more warm water accumulation in the equatorial Pacific, an
26 enhanced meridional temperature gradient between the equator and the Southern Hemisphere.
27 Through analysis of the terms in the temperature variance equation, the stronger anomalous
28 upwelling is the primary cause of the enhanced ENSO variability. The enhanced meridional
29 temperature gradient increases the zonal wind stress variability, which in turn strengthens
30 anomalous upwelling. Our findings imply that the influence of DP opening on tropical climate
31 variability has been previously underestimated.

32 **KEYWORDS:** Drake Passage; paleoclimate; ENSO; tropical Pacific; CESM

33

34 **1. Introduction**

35 The Drake Passage (DP), located between Cape Horn at the southern tip of South America
36 and the South Shetland Islands in Antarctica, is the widest and deepest strait on Earth and plays a
37 key regulatory role in the global climate system (Toggweiler & Samuels, 1995; Toggweiler &
38 Bjornsson, 2000; Sijp & England, 2004, 2005; Sijp et al., 2009; Zhang et al., 2010, 2011; Yang et
39 al., 2014; Wang et al., 2024, 2025). It connects the Pacific and Atlantic Oceans, enabling the
40 direct exchange of heat and salinity between the two largest basins (Chidichimo et al., 2014;
41 Koenig et al., 2014; Donohue et al., 2016; Gutierrez-Villanueva et al., 2023; Song et al., 2025; Xie
42 et al., 2025). Owing to its location within the westerly wind belt, combined with its broad expanse
43 and the absence of land barriers, the DP provides essential conditions for the existence of a
44 vigorous Antarctic Circumpolar Current (ACC) (Sijp & England, 2004, 2005; Sijp et al., 2009;
45 Zhang et al., 2010, 2011; Yang et al., 2014; Wang et al., 2024, 2025). Observations show that the
46 ACC transport through the DP exceeds 140 Sv and remains remarkably stable over the past few
47 decades (Chidichimo et al., 2014; Koenig et al., 2014; Donohue et al., 2016; Gutierrez-Villanueva
48 et al., 2023).

49 Serving as the key Southern Ocean gateway, DP was fully closed until approximately 50
50 million years ago (Ma) and began to open around 30 Ma (Zachos et al., 2001; Lagabriele et al.,
51 2009). Modeling experiments investigating the closure, opening, and depth variations of the DP
52 indicate that the establishment of the strong modern ACC only followed the opening of it. This
53 reorganization reduced heat transport toward Antarctica, leading to Antarctic cooling and
54 expanded sea ice coverage in the Southern Ocean, thereby creating profound differences in global
55 ocean circulation and climate compared to the pre-opening configuration (Toggweiler & Samuels,
56 1995; Toggweiler & Bjornsson, 2000; Sijp & England, 2004, 2005; Sijp et al., 2009; Yang et al.,
57 2014; England et al., 2017; Wang et al., 2024, 2025). Early studies primarily focused on how the
58 tectonic configuration of DP influenced global climate through its impact on the ACC and Atlantic
59 Meridional Overturning Circulation (AMOC) (Toggweiler & Samuels, 1995; Toggweiler &
60 Bjornsson, 2000; Sijp & England, 2004, 2005; Sijp et al., 2009), and later work by Yang et al.
61 (2014) expanded this perspective by incorporating the closure of the Panama Seaway into the
62 discussion. Zhang et al. (2010, 2011) accounted for Cenozoic paleogeography and greenhouse gas
63 concentrations in their investigations, while Wang et al. (2024, 2025) subsequently linked this
64 issue to asymmetric polar warming.

65 Topographic features including straits and mountains (e.g., the Tibetan Plateau) represent a
66 major condition that shapes climate and ocean circulation (Ruddiman & Kutzbach, 1989; Molnar
67 et al., 1993; Kitoh, 1997; Yang et al., 2024; Tong et al., 2025) and thereby influence ENSO
68 (Kitoh, 2006; Feng & Poulsen, 2014; Fallah et al., 2016; Naiman et al., 2017; Wen et al., 2020). In
69 addition to the effects of atmospheric circulation changes induced by topographic forcing on
70 tropical variability, the ocean meridional overturning circulation that transports extratropical
71 signals into the tropics also plays a crucial role in the equatorial thermocline structure and stability
72 (Liu & Yang, 2003; Yang et al., 2005; Zhang et al., 2005). Besides mountains, the evolution of
73 oceanic gateways also plays a crucial role in shaping the climatic mean state (Zhang et al., 2011).

74 The El Niño–Southern Oscillation (ENSO) is the most prominent interannual variability in
75 the tropical Pacific, representing a manifestation of ocean-atmosphere coupling (McPhaden et al.,
76 2006). Its characteristics (e.g. amplitude, frequency, spatial pattern, and asymmetry) are
77 fundamentally modulated by the background mean climate state of the tropical Pacific (Collins,
78 2000; Fedorov & Philander, 2001; Timmermann et al., 2018). Key mean-state parameters such as
79 the strength of the trade winds, the zonal sea surface temperature (SST) gradient, the depth,
80 stratification and vertical heat flux of the equatorial thermocline, and the stability of the ocean-
81 atmosphere coupling collectively determine the variability and period of ENSO (Guilyardi, 2006;
82 Jin et al., 2006; Kug et al., 2009; Sun et al., 2009; Chen et al., 2013; Wang et al., 2013; Liu et al.,
83 2014). Paleoclimatic records indicate that ENSO has undergone significant shifts in response to
84 past changes in boundary conditions, such as ice-sheet extent and orbital forcing (Tudhope et al.,
85 2001; Cobb et al., 2003; Liu et al., 2014).

86 However, it remains a question whether the oceanic and atmospheric circulation adjustments
87 induced by the opening of DP can influence low-latitude, tropical air-sea interaction processes,
88 particularly the strongest mode of variability, ENSO. In this study, we investigate this question
89 using the fully coupled Community Earth System Model (CESM) version 1.2.2 to conduct
90 simulations with an open DP and a closed DP (see Section 2). By comparing the results from these
91 two experiments, we investigate the impact of DP opening on tropical climate and ENSO.

92 The article is structured as follows. Section 2 describes the model and experimental design.
93 Section 3 presents the changes in ENSO and the tropical Pacific mean climate between the
94 topographic experiments. Section 4 analyzes the mechanisms underlying the changes in ENSO
95 variability, followed by a summary and discussion in Section 5.

97 2. Model and Experiments

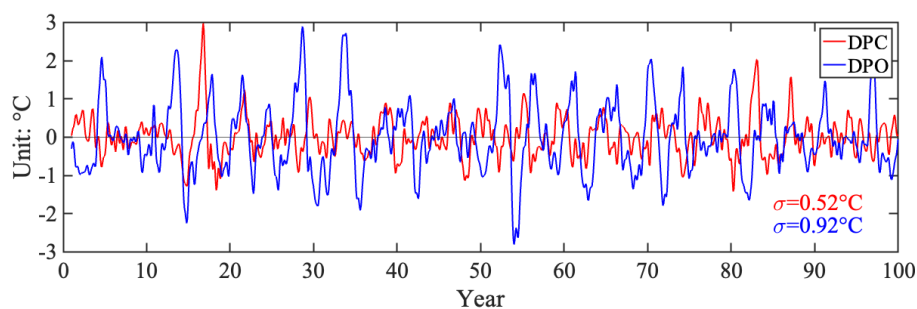
98 The fully coupled CESM used in this study is a global climate model (Hurrell et al., 2013).
99 Its atmospheric component is the Community Atmosphere Model version 4 (CAM4) with a
100 horizontal resolution of approximately 1.9° latitude by 2.5° longitude and 26 vertical layers in a
101 hybrid sigma-pressure coordinate, extending to a model top at 35 km. The ocean and sea ice
102 components have a nominal horizontal resolution of 1° with 60 vertical layers, reaching a
103 maximum depth of 5.5 km. The atmospheric CO_2 concentration is prescribed at a year-2000 level
104 of 367 ppm.

105 Two fully coupled experiments are conducted: a closed DP (DPC) and an open DP (DPO). In
106 the DPC experiment, an isthmus approximately 500 km wide and 10 m high connects the
107 Antarctic Peninsula to Cape Horn, South America (Sijp & England, 2004, 2005; Sijp et al., 2009;
108 Yang et al., 2014). The DPO simulation was spun up for 249 years to reach a quasi-equilibrium
109 state. For DPC, a longer spin-up of 900 years was required due to the extended adjustment
110 timescale of the ocean circulation. The analysis in this study is based on a subsequent 100-year
111 integration from each equilibrated state. More detailed experimental design and a model-
112 observation comparison can be found in Wang et al. (2024, 2025).

113

114 3. Results

115 Figure 1 presents the time series of ENSO variability for both the DPC and DPO
116 experiments. It displays the SST anomaly (SSTA) in the Niño-3.4 region (5°S – 5°N , 150°E – 90°W)
117 from both experiments. In the DPO experiment, the standard deviation of SSTA (σ) is 0.92°C . In
118 contrast, the DPC experiment exhibits weaker tropical SSTA oscillations, with σ reduced to
119 approximately 0.52°C . Overall, ENSO variability is notably enhanced following the opening of
120 the DP. To understand why ENSO variability in the tropical Pacific increases, it is necessary to
121 first examine the changes in the climates of both hemispheres induced by the DP opening.



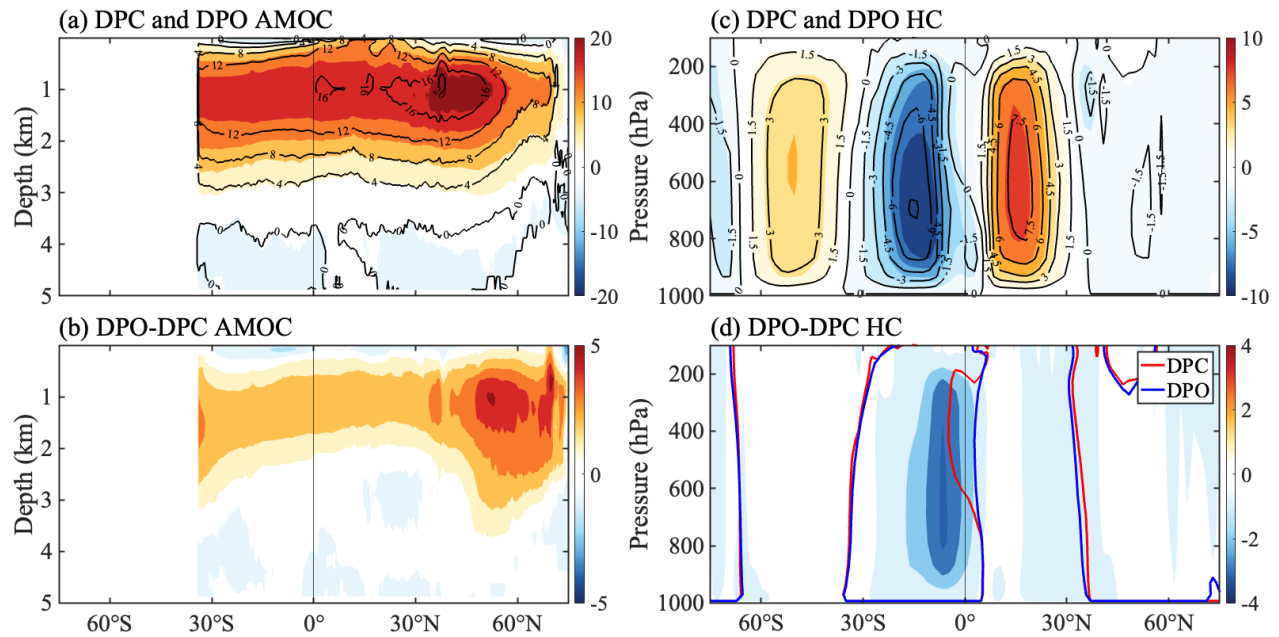
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123 FIG. 1. Time series of SST anomalies (SSTA) and their standard deviation (σ) averaged over
124 the Niño-3.4 region (5°S – 5°N , 150°E – 90°W) are shown in the figure. The SSTA field is smoothed
125 with a 5–85-month bandpass filter.

126

127 In the modern climate, the AMOC constitutes the primary component of the global
128 meridional overturning circulation and dominates the northward cross-equatorial heat transport in
129 the Southern Hemisphere (Wen & Yang, 2020; Yang et al., 2024). The AMOC consists of both
130 thermohaline and wind-driven components (Weaver et al., 1993; Rahmstorf, 2002). Previous
131 modeling studies have demonstrated that the opening, closure, and depth of the DP have a strong
132 influence on the AMOC (Toggweiler & Samuels, 1995; Toggweiler & Bjornsson, 2000; Sijp &
133 England, 2004, 2005; Sijp et al., 2009; Yang et al., 2014; England et al., 2017). Among these, the
134 earliest studies even proposed that a closed DP would shut down the formation of a vigorous
135 AMOC. Using freshwater hosing experiments, Sijp and England (2005) identified a threshold
136 depth for the DP necessary to sustain an AMOC. Subsequent research using fully coupled models
137 found that, under modern continental configurations and greenhouse gas levels, a closed DP does
138 not completely halt the formation of North Atlantic Deep Water (NADW) and thus does not
139 entirely shut down the AMOC (Yang et al., 2014; England et al., 2017; Wang et al., 2024, 2025).

140 Figure 2 depicts the AMOC stream function in the DPC and DPO experiments. Under the
141 DPC configuration, the AMOC does not collapse due to NADW formation (Figure 2a). The
142 opening of the DP creates the necessary conditions for the development of a vigorous ACC. The
143 enhanced ACC strengthens the wind-driven Ekman pumping upwelling in the Southern Ocean
144 (Wang et al., 2024), constituting a key mechanism for returning deep waters to the surface. The
145 resulting northward Ekman divergence greatly strengthens the upper limb of the AMOC and
146 enhances northward heat transport (Toggweiler & Samuels, 1995; Sijp & England, 2004; Wang et
147 al., 2024).



148

149 FIG. 2. Patterns of the AMOC stream function (Sv) in (a) contours for DPC and shading for
 150 DPO, and (b) DPO minus DPC. Mean Hadley cell (HC) stream function ($10^{10} \text{ kg s}^{-1}$) in (c)
 151 contours for DPC and shading for DPO, and (d) DPO minus DPC. The red and blue lines in (d)
 152 indicate the zero stream function lines for DPC and DPO, respectively.

153

154 Due to the increased northward heat transport, the Northern Hemisphere becomes relatively
 155 warmer, causing the southern branch of the Hadley cell (HC) to shift northward and intensify.
 156 Notably, the boundary of the rising branch above 700 hPa moves from the Southern Hemisphere
 157 into the Northern Hemisphere (Figure 3d). Both model simulations and paleoclimate proxies
 158 demonstrate that when the AMOC strengthens, the enhanced northward heat transport warms the
 159 Northern Hemisphere, thereby driving the global HC and the Intertropical Convergence Zone
 160 (ITCZ) to shift northward toward the warmer hemisphere (Broccoli et al., 2006; Stouffer et al.,
 161 2006; Yang et al., 2014; Liu & Hu, 2015; McGee et al., 2018; Kug et al., 2021; Kim et al., 2023;
 162 Oh et al., 2024).

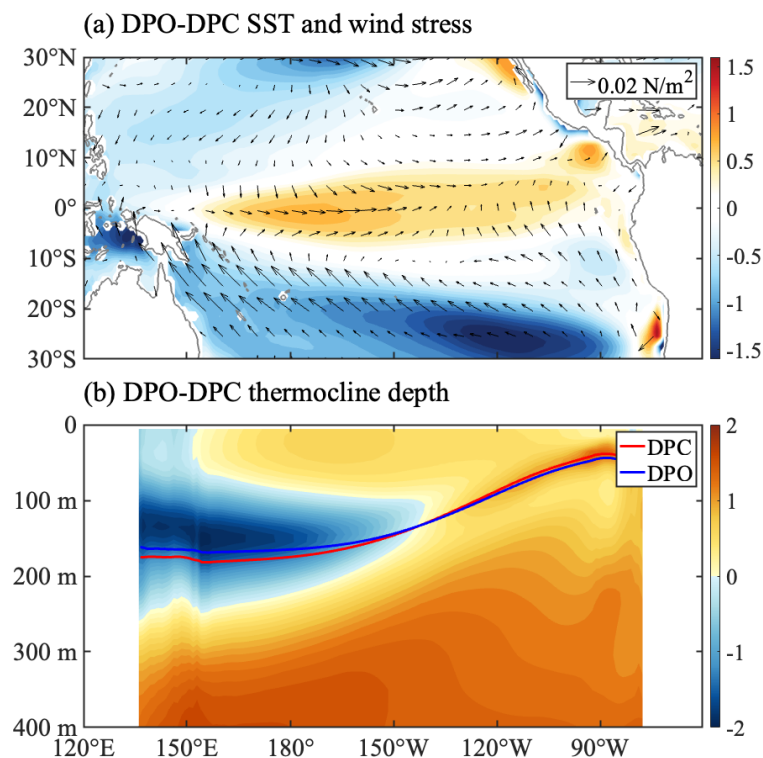
163 Meanwhile, the intensification of the HC explains the significantly enhanced northward latent
 164 energy transport at lower levels and southward dry static energy transport at upper levels in the
 165 Southern Hemisphere low latitudes reported by Wang et al. (2024). The HC tends to shift toward
 166 the warming hemisphere (Northern Hemisphere in this study), which facilitates the release of
 167 excess energy in the Northern Hemisphere through convection and enhances southward cross-
 168 equatorial energy transport in the upper atmosphere. To maintain interhemispheric energy balance,

169 the enhanced total atmospheric southward energy transport compensates for the intensified
 170 northward oceanic heat transport, primarily driven by the strengthened AMOC resulting from the
 171 establishment of the ACC, which is a manifestation of Bjerknes compensation (Bjerknes, 1964;
 172 Liu et al., 2015; Yang et al., 2016).

173

174 4. Mechanisms

175 ENSO characteristics are closely tied to the background mean climate of the tropical Pacific
 176 (Collins, 2000; Fedorov & Philander, 2001). The changes in heat transport and the HC shown in
 177 Figure 2 alter the tropical climate, as reflected in the mean state changes depicted in Figure 3.
 178 Figure 3 depicts changes in the climatic mean state between the DPO and DPC experiments.
 179 Consistent with previous modeling studies conducted under modern greenhouse gas levels and
 180 paleogeography (Toggweiler & Samuels, 1995; Toggweiler & Bjornsson, 2000; Sijp & England,
 181 2004, 2005; Yang et al., 2014; Wang et al., 2024, 2025), the opening of the DP leads to a
 182 pronounced cooling in Southern Hemisphere air temperature and SST.



183

184 FIG. 3. Changes in Mean Tropical Climate from DPC to DPO: (a) SST (°C) and surface wind
 185 stress (N m^{-2}); (b) upper-ocean temperature (°C) averaged between 5°S and 5°N. The red and

186 black lines represent the mean thermocline depth in DPC and DPO, respectively, defined by the
187 depth of the 20°C isotherm (Fedorov & Philander, 2001).

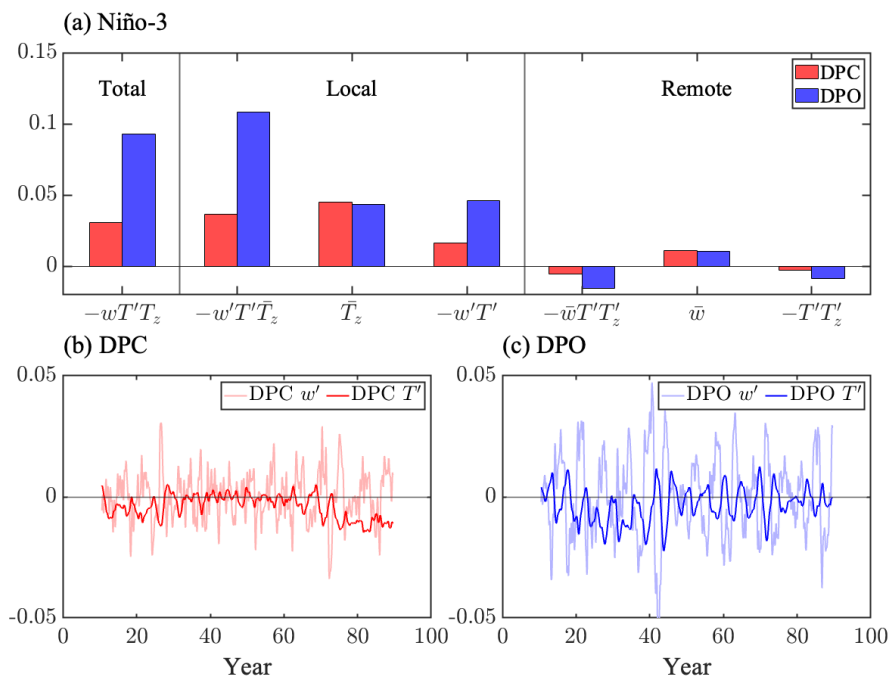
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189 Consistent with the northward shift and intensification of the lower-level Southern
190 Hemisphere trade winds shown in Figure 2, the trade winds south of 10°S are significantly
191 strengthened in Figure 3a, which leads to warm water accumulation in the equatorial Pacific. This
192 warming is accompanied by an eastward shift of the Pacific convection center, a weakened zonal
193 SST gradient, and strengthened westerly winds over the equatorial central-western Pacific,
194 indicating a weakened Walker circulation (Ashok et al., 2007; Timmermann et al., 2018; Yan et
195 al., 2022, 2025). Due to the spatial pattern of the El Niño-like mean state, the thermocline depth
196 (Fedorov & Philander, 2001) flattens across the equatorial Pacific, shoaling in the west with little
197 change in the east (Figure 3b), which is consistent with the basin-wide warming shown in Figure
198 3a.

199 The changes in the mean climate described above, including the El Niño-like mean state, the
200 weakened trade winds near the equator (10°S–0°) (Yang & Zhang, 2008; Yeh et al., 2010; An &
201 Heo, 2019; Wen et al., 2020; Geng et al., 2024), the weakened Walker circulation (Bayr et al.,
202 2020; Trascasa-Castro et al., 2021), and for the subsurface, a flattened thermocline with a reduced
203 vertical temperature gradient (Yeh et al., 2010; Zhao et al., 2021), would typically be expected to
204 reduce ENSO variability. A flatter thermocline is unfavorable for the enhancement of ENSO
205 variability, as it reduces the mean zonal temperature gradient, thereby weakening the strength of
206 the Bjerknes feedback that drives ENSO (Santoso et al., 2011) and reducing the heat content
207 (recharge capacity) of the western Pacific warm pool (Jian et al., 2022). However, ENSO
208 variability nonetheless increases following the opening of the DP (Figure 1).

209 The patterns of tropical Pacific changes shown in Figure 2 resemble those reported in
210 experiments with the Tibetan Plateau removed, which also enhance ENSO variability (Wen &
211 Yang, 2020; Yang et al., 2020; Wen et al., 2020). Through analysis of the temperature variance
212 equation, Yang and Zhang (2008) and Wen et al. (2020) confirmed that the vertical advection term
213 is the primary contributor to ENSO variability. Wen et al. (2020) found that removal of the
214 Tibetan Plateau shifts both the wind stress response to Niño-3 SST anomalies and the sensitive
215 region of anomalous Ekman upwelling in the equatorial Pacific (Jin et al., 2006) eastward,
216 significantly enhancing temperature variance in the Niño-3 region and thereby intensifying ENSO.
217 In this study, these processes are initiated by topographic changes in the Southern Hemisphere.

218 Figure 4 shows the total vertical advection term ($-wT'T'_z$), its local and remote modes
 219 ($-w'T'T'_z$, $-\bar{w}T'T'_z$), and the variables constituting these terms in the Niño region for the DPC and
 220 DPO experiments. Consistent with previous studies, the remote mode acts as a damping effect by
 221 transporting water with lower variability from deeper layers upward via mean upwelling, thereby
 222 suppressing temperature variability (Figure 4a). The local mode within the vertical advection term
 223 is the primary positive contributor to temperature variability in the Niño-3 region, and its
 224 enhancement is the main reason for the increase in ENSO variability following the opening of the
 225 DP (Yang & Zhang, 2008; Wen et al., 2020). Analysis of the components of the local mode
 226 reveals that although the vertical temperature gradient (\bar{T}'_z) slightly decreases, anomalous
 227 upwelling (w') strengthens (Figure 4c). Positive anomalous upwelling represents stronger cold
 228 water upwelling, reducing thermocline temperature. Therefore, the vertical heat flux ($-w'T'$)
 229 significantly increases in the DPO experiment, which is the primary reason for the enhancement of
 230 ENSO variability.

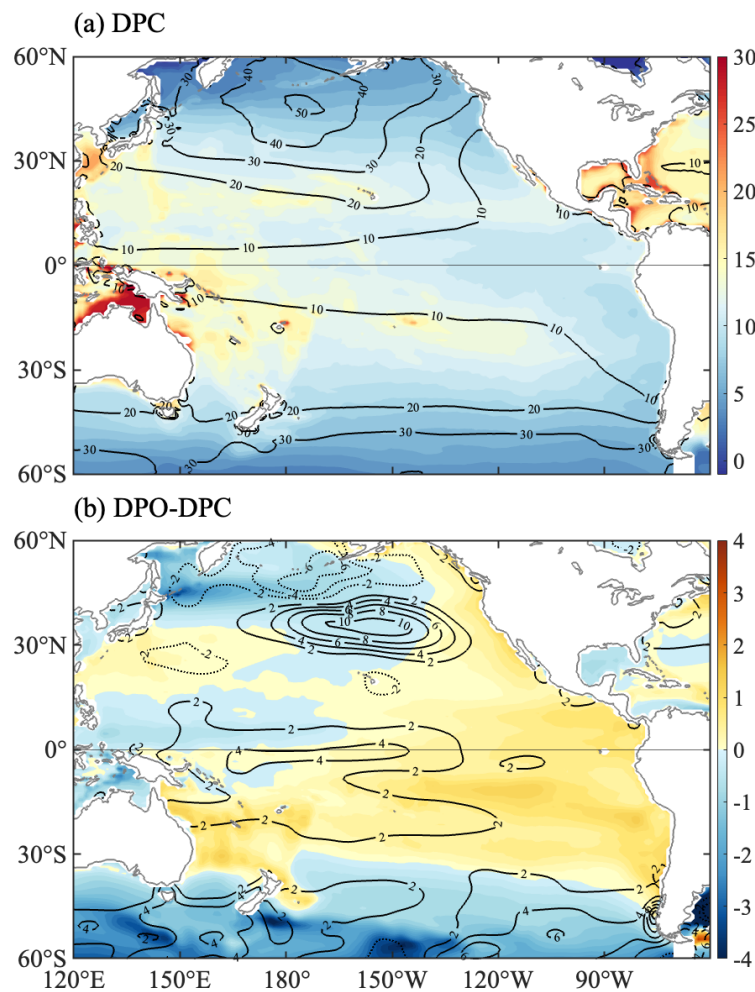


231

232 FIG. 4. (a) Mean values of the total, local, and remote terms and their components averaged
 233 over the thermocline in the Niño-3 region; (b) and (c) schematic showing anomalous upwelling
 234 and temperature anomalies in the Niño-3 region for the DPC and DPO experiments, respectively.
 235 Each curve is smoothed with a 21-year running mean. The values of \bar{w} , w' , \bar{T}'_z , $w'T'$, $T'T'_z$ and T'
 236 are plotted after being multiplied by 2×10^1 , 10^4 , 2×10^{-1} , 10^5 , 5, and 10^{-1} , respectively. The units of
 237 these terms are $^{\circ}\text{C}^2 \text{ s}^{-1}$.

238

239 As noted above, the formation of the ACC reduces southward transport of warm water from
 240 the mid- and low-latitude regions, leading to significant warming of the thermocline in the tropical
 241 Pacific and the Southern Hemisphere subtropics (Figure 5b). This warming is consistent with the
 242 subsurface warming shown in Figure 3b and weakens most of the stratification in the Niño-3
 243 region, which is unfavorable for the enhancement of ENSO variability (Fedorov & Philander,
 244 2001; Yang & Zhang, 2008; Yeh et al., 2010; Zhao et al., 2021). This suggests that the adjusted
 245 oceanic processes are unfavorable for the enhancement of ENSO variability.



246

247 FIG. 5. (a) DPC and (b) DPO minus DPC: contours show zonal wind stress (τ_x) variability
 248 (10^{-3} N m^{-2}), with solid lines indicating increased variability and dashed lines indicating decreased
 249 variability; shading shows the mean temperature ($^{\circ}\text{C}$) between 24 and 26 σ , representing
 250 thermocline temperature (Yang et al., 2005).

251

252 Therefore, the increase in ENSO variability in DPO relative to DPC is attributed to
253 atmospheric changes. The enhanced anomalous upwelling (Figure 4c) results from increased zonal
254 wind stress variability over the central-eastern equatorial Pacific (Figure 5b) (Fedorov &
255 Philander, 2001; Jin et al., 2006; Bayr et al., 2020). Owing to the formation of an El Niño-like
256 mean state, the eastward shift of the convection center, and significant cooling in the Southern
257 Hemisphere (Figure 3a), the increased meridional temperature gradient in this region leads to
258 enhanced wind stress variability (Lindzen & Nigam, 1987). This strengthens anomalous upwelling
259 and the local mode of the vertical advection term in turn, ultimately enhancing ENSO variability
260 (Jin et al., 2006).

261

262 **5. Conclusions and Discussion**

263 This study employs a fully coupled climate model to investigate the role of the DP opening in
264 ENSO variability. The opening of the DP establishes the ACC and strengthens the AMOC,
265 causing the southern branch of the HC to shift northward and intensify. This triggers a sea surface
266 response in the tropical Pacific characterized by an El Niño-like mean-state climate (Yang &
267 Zhang, 2008; Wen et al., 2020; Geng et al., 2024), manifested as a weakened Walker circulation
268 and a flattened thermocline across the equatorial Pacific. Through analysis of the temperature
269 variance equation, this enhancement is attributed to the strengthening of the local mode within the
270 vertical advection term. Following the DP opening, the increased meridional temperature gradient
271 over the central-eastern equatorial Pacific leads to stronger anomalous zonal wind stress, which in
272 turn enhances anomalous upwelling and vertical heat flux, ultimately resulting in the
273 intensification of the local mode in the vertical advection term.

274 Both model experiments and paleoclimate proxies indicate that ENSO variability during the
275 Eocene (55–35 Ma), prior to extensive mountain uplift, was greater than in the modern climate
276 (Manabe & Broccoli, 1990; Huber & Caballero, 2003; Kitoh, 2006; Naiman et al., 2017).
277 However, the opening of the DP (~43 Ma) and the establishment of a strong ACC (~32 Ma) also
278 occurred within this interval (Zachos et al., 2001; Lagabriele et al., 2009). Therefore, the potential
279 impact of the DP opening on enhancing ENSO variability during this period may have been
280 overlooked. By taking this factor into account, our study considers the influence of the evolution
281 of Southern Ocean high-latitude gateway on tropical Pacific climate, which offer valuable insights
282 for understanding the evolution of ENSO since the Eocene.

283 The opening of the DP is a protracted process (Zachos et al., 2001; Lagabrielle et al., 2009),
284 during which paleogeography and greenhouse gas concentrations underwent substantial changes.
285 Although many details remain unresolved, the opening of the DP has been a key driver in the
286 reorganization of global atmospheric and oceanic circulation and in shaping hemispheric climate
287 changes (Toggweiler & Samuels, 1995; Toggweiler & Bjornsson, 2000; Zachos et al., 2001; Sijp
288 & England, 2004, 2005; Sijp et al., 2009; Lagabrielle et al., 2009; Zhang et al., 2010, 2011; Yang
289 et al., 2014; England et al., 2017; Wang et al., 2024, 2025). The temporal evolution of this event
290 overlaps with the period of rapid global mountain uplift, yet their effects on ENSO variability are
291 opposite (Manabe & Broccoli, 1990; Zachos et al., 2001; Kitoh, 2006; Naiman et al., 2017; Wen
292 et al., 2020). This study identifies this contrast through coupled model experiments. Future work
293 may require additional experiments to investigate the physical processes through which seaway
294 evolution and Southern Ocean climate change influence ENSO variability.

295

296 *Acknowledgements:*

297 This research is jointly supported by the NSF of China (Nos. 42288101, 42230403 and
298 41725021) and by the foundation at the Shanghai Frontiers Science Centre of Atmosphere-Ocean
299 Interaction of Fudan University.

300 *Data Availability Statement:*

301 All data and code used in this study are available upon request.

302 *Conflict of interest:*

303 The authors have no relevant financial or non-financial interests to disclose.

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