1	A Theory for Self-sustained Multicentennial Oscillation of the Atlantic
2	Meridional Overturning Circulation. Part II: Role of Temperature
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### Abstract

In the first part of our research on self-sustained multicentennial oscillation of the Atlantic 25 meridional overturning circulation (AMOC), we proposed a hemispheric box model considering only 26 the saline process. In this paper, we consider both thermal and saline processes in the box model and 27 employ mixed boundary conditions, so as to include more realistic physics. Generally, the thermal 28 process has a stabilizing effect on the system, and additional physics, such as enhanced subpolar 29 30 mixing or a nonlinear relation between the AMOC and meridional density gradient, is still needed to realize a self-sustained oscillation. Specifically, the thermal process exerts mainly two effects on the 31 32 system: shortening marginally the multicentennial oscillation period of the AMOC, and stabilizing the oscillating system and subpolar stratification, which are contributed by the fast surface temperature 33 34 restoring, the negative temperature-advection feedback and subpolar temperature stratification, respectively. The oscillation properties are controlled by the balance of destabilizing salinity 35 advection and stabilizing temperature advection. Different from salinity-only situation, the enhanced 36 37 subpolar mixing in the current situation makes the system more unstable. Weaker meridional temperature gradient and stronger meridional salinity gradient can lead to weaker temperature-38 advection feedback and stronger salt-advection feedback, and thus a longer AMOC oscillation period 39 with less stability at multicentennial timescale, which might be expected in the future due to more 40 intense high-latitude warming and freshwater hosing. 41

42 **Keywords**: AMOC, Self-sustained oscillation, Temperature feedbacks, Box model

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### 44 **1. Introduction**

In our first publication on the multicentennial oscillation of the Atlantic meridional overturning 45 circulation (AMOC) (Li and Yang 2022, hereafter LY22), we used a 4-box model to study the self-46 sustained multicentennial AMOC oscillation, which only considered salinity equations. Here, we 47 expand our horizon to explore theoretically the multicentennial oscillation of the AMOC by including 48 both thermal and saline processes. Thus, the term AMOC in this paper refers to its thermohaline 49 circulation portion that is controlled by both temperature and salinity. It is well recognized that the 50 AMOC is a crucial regulator for the North Atlantic and likely the global climate over a wide range of 51 timescales (Chabaud et al. 2014; Muir and Fedorov 2015; Zhang et al. 2019). Low-order theoretical 52 53 models are essentials for understanding the AMOC dynamics. To isolate the most fundamental dynamics, only salinity equations were kept in the theoretical model of LY22, paralleling a few other 54 55 studies (Rahmstorf 1996; Cimatoribus et al. 2014; Sévellec and Fedorov 2014). However, such treatment is unphysical to an extent since all the temperature-related effects were excluded. 56

In ocean-only theoretical models of the AMOC, mixed boundary conditions (Haney 1971) are 57 often employed; that is, sea surface temperature (SST) is restored to a prescribed value following the 58 Newtonian law, while sea surface salinity (SSS) is forced by the surface freshwater flux. As seen in 59 quite a few studies, the negative temperature-advection feedback (Stommel 1961; Walin 1985) and 60 the positive restoring-advection feedback (Griffies and Tziperman 1995, hereafter GT95; Scott et al. 61 1999; Colin de Verdière 2010) are included in their temperature equations. The former works as 62 follows: commencing with an initial positive AMOC perturbation, the elevated poleward heat 63 transport reduces subpolar density, therefore restraining deep-water formation, which is followed by 64 the slowdown of the AMOC. The latter works as an opponent to the former: an enhanced AMOC 65 66 increases the subpolar SST, leading to restore the warming itself, and the reduced positive SST anomaly hinders the AMOC slowdown. The restoring-advection feedback strengthens as the restoring 67 68 process (timescale) speeds up (shortens). However, this feedback merely offsets, but never overruns the temperature-advection feedback even under extremely strong restoring. Hence, the net effect of 69 70 temperature feedbacks is to stabilize the system. It has also been illustrated in other studies that the oceanic thermal process influences the stability of AMOC system (Zhang et al. 1993; Marotzke and 71 72 Stone 1995; Rahmstorf and Willebrand 1995; Marotzke 1996). Consequently, adding temperature equations to the salinity-only model of LY22 should be more realistic for the multicentennial AMOC 73 74 oscillation.

Usually, the thermal process is faster than the saline process due to the fast SST restoring, whose 75 timescale is not more than a few years (Pierce 1996). It is thus intuitive that including this fast process 76 might shorten the multicentennial period. However, Schmidt and Mysak (1996) considered that such 77 fast restoring removes high-frequency anomalies and therefore might prolong the multicentennial 78 period. They then stated that such lengthening is not so obvious; and their main focus was on the 79 system's stability, leaving this question unanswered. Therefore, it would be intriguing to see the role 80 of temperature in influencing AMOC oscillation timescale. An in-depth understanding of temperature 81 effects in shaping multicentennial AMOC oscillation should also provide us insight about how the 82 83 AMOC will respond to future climate change.

In this study, we extend the 4-box salinity-only model in LY22 to a temperature-salinity one; and we employ mixed boundary conditions. The conciseness of this model enables stability analysis. We aim to unravel the effects induced by thermal process. We then work on realization of a self-sustained oscillation with bounding terms affiliated, in order to test whether the advection process dominates the eigenmode. Motivated by the difference in period and stability between our model and other studies, we also examine the sensitivity of eigenmode to model parameters controlling the model geometry, flow properties and feedback processes.

This paper is structured as follows. In section 2, a 4-box temperature-salinity model (hereafter 4TS) is introduced, followed by illustration of temperature and salinity feedbacks. In section 3, the role of temperature equations is analyzed. In section 4, we test two ways for realizing a self-sustained oscillation, and elucidate the critical role of advection process. In section 5, the sensitivities of eigenmode's period and stability to model parameters are examined. Summary and discussion are presented in section 6.

97

## 98 **2. Box model**

### 99 a. Model formulae

The model we use here is a hemispheric 4-box model with identical geometry to that in LY22 (Fig. 1a). The model domain is 60° in longitude, with the tropical and subpolar boxes spanning over 0°-45°N and 45°-70°N, respectively. The AMOC flows through the boxes in a clockwise sense. Excluding multi-equilibria, we do not discuss possibility of a reversed AMOC cell. Analogous box models have been widely used (Joyce 1991; Huang et al. 1992; GT95). In the 4-box salinity-only model (hereafter 4S) of LY22, only salinity equations were used to obtain analytical solutions. In the

# 106 4TS model, we have:

107

$$V_1 \dot{T_1} = q(T_4 - T_1) + V_1 \tau (T_1^* - T_1)$$
(1a)

108 
$$V_2 \dot{T}_2 = q(T_1 - T_2) + V_2 \tau (T_2^* - T_2)$$
 (1b)

109 
$$V_3 \dot{T}_3 = q(T_2 - T_3)$$
 (1c)

110 
$$V_4 \dot{T}_4 = q(T_3 - T_4) \tag{1d}$$

111 
$$V_1 \dot{S_1} = q(S_4 - S_1) + F_w$$
(1e)

112 
$$V_2 S_2 = q(S_1 - S_2) - F_w$$
(1f)

113 
$$V_3 \dot{S}_3 = q(S_2 - S_3) \tag{1g}$$

114 
$$V_4 \dot{S}_4 = q(S_3 - S_4) \tag{1h}$$

115 It is an advection-dominated box model, with mixed boundary conditions where Haney-style

restoring for SST (Haney 1971) and surface freshwater flux for SSS are adopted.  $V_i$ ,  $T_i$  and  $S_i$  are the

volume, temperature and salinity in each box. Dots over variables denote their temporal derivatives. *q* 

stands for AMOC strength.  $F_w$  represents the surface freshwater flux, which actually takes the form of

119 virtual salt flux.  $T_1^*$  and  $T_2^*$  correspond to the restoring temperatures for boxes 1 and 2, respectively.

120 The Newtonian restoring coefficient  $\tau$  is also the reciprocal of the restoring timescale for  $T_1$  and  $T_2$ .



(3)

FIG. 1. Schematic diagrams of temperature-salinity box models. (a) The 4-box model. (b) The 3-box model reduced from the 4-box one. The circled numbers  $\bigcirc$ ,  $\bigcirc$ ,  $\bigcirc$ ,  $\bigcirc$ , and O denote the ocean boxes. Boxes 1 and 4 stand for the upper and lower tropical oceans, respectively, while boxes 2 and 3 stand for the upper and lower subpolar oceans, respectively.  $D_1$  and  $D_2$  represent the upper and lower ocean depths, respectively. The net freshwater flux out of (into) the tropical (subpolar) ocean is represented by  $F_w$ .  $T_1^*$  ( $T_2^*$ ) is the restoring temperature of the tropical (subpolar) ocean. *q* represents the AMOC.

128

129 The equilibrium solutions at steady state can be easily written as:

$$\overline{T_1} = T_1^* - \frac{\overline{q}V_2(T_1^* - T_2^*)}{\overline{q}(V_1 + V_2) + V_1 V_2 \tau}, \qquad \overline{T_2} = \frac{V_1 T_1^* + V_2 T_2^* - V_1 \overline{T_1}}{V_2} = \overline{T_3} = \overline{T_4}$$
(2a)

139

$$\overline{S_1} = F_w / \overline{q} + \overline{S_2}, \qquad \overline{S_2} = \overline{S_3} = \overline{S_4}$$
 (2b)

Variables with overbar denote their equilibrium values. Similar to previous studies (Winton and Sarachik 1993; Cessi 1994; Roebber 1995), we choose  $\overline{q} = 10 Sv$ . Following LY22, the upper and total ocean depths are 500 and 4000 *m*, respectively. We set  $\overline{S_2}$  and  $F_w$  to 33.5 *psu* and 25.0 *psu* · *Sv*, respectively, leading to  $\overline{S_1} = 36 psu$ . Straightway,  $T_1^*$  and  $T_2^*$  are considered to be close to the averaged realistic SSTs in the tropical and subpolar regions; thus we have 25°C and 7°C, respectively. The setting of  $\tau$  uses the idea of Bretherton (1982), and the restoring timescale  $1/\tau$  is represented as follows:

$$1/\tau = \frac{\rho_w c \Delta z A}{\kappa_0 A} = \frac{\rho_w c \Delta z}{\kappa_0}$$

Here, *A* is the area of ocean surface.  $\rho_w$  and *c* are the typical density and specific heat of seawater, set to 1027  $kg \cdot m^{-3}$  and 3850  $J/(kg \cdot °C)$ , respectively. A value of 30 *m* is given to the thickness of the surface layer  $\Delta z$  (not the upper ocean).  $\kappa_0$  is a restoring coefficient. Bretherton (1982) stated that  $\kappa_0$ should be small when averaged over the whole globe, while it is larger if a smaller area is considered. In view of our one-hemisphere configuration, it is reasonable to choose  $\kappa_0 = 4 W/(m^2 \cdot °C)$ , yielding a restoring timescale of almost one year in our box model. For convenience, we set  $\tau =$  $3.171 \times 10^{-8} s^{-1}$ , corresponding to a precise 1-year restoring timescale.

147 The total AMOC strength q could be separated into an equilibrium portion  $\overline{q}$  and an anomalous 148 portion q'. We consider a linear relation between q' and thickness-weighted meridional density 149 gradient anomaly  $\Delta \rho'$ . Both q' and  $\Delta \rho'$  can be decomposed into temperature-driven portion  $(q'_T, \Delta \rho'_T)$ 150 and salinity-driven portion  $(q'_S, \Delta \rho'_S)$ ; therefore, we have:

$$q = \overline{q} + q' \tag{4a}$$

7

(4*e*)

$$q' = q'_T + q'_S = \lambda \Delta \rho'_T + \lambda \Delta \rho'_S = \lambda \Delta \rho'$$
(4b)

153 where

$$\Delta \rho_T' = -\rho_0 \alpha [\delta(T_2' - T_1') + (1 - \delta)(T_3' - T_4')]$$
(4c)

155 
$$\Delta \rho'_{S} = \rho_{0} \beta [\delta(S'_{2} - S'_{1}) + (1 - \delta)(S'_{3} - S'_{4})]$$
(4d)

157

154

The sensitivity of q' to  $\Delta \rho'$  is represented by a linear closure coefficient  $\lambda$ .  $\rho_0$ ,  $\alpha$  and  $\beta$  are the

 $\delta = \frac{V_1}{V_1 + V_4} = \frac{V_2}{V_2 + V_3} = \frac{D_1}{D}$ 

reference density, thermal expansion and haline contraction coefficients for seawater, respectively.  $D_1$ 

and *D* correspond to the upper and total ocean depths, respectively.  $T'_i$  and  $S'_i$  are the temperature and salinity anomalies of box *i*, respectively. A summary of the standard parameter values is provided in Table 1.

162

# TABLE 1. Standard values of the parameters used.

Symbol	Physical Significance	Value
$V_2$	Volume of box 2	$2.8 \times 10^{15} m^3$
$V_1, V_3, V_4$	Volumes of boxes 1, 3 and 4, respectively	$5V_2, 7V_2, 35V_2$
$D_1, D_2, D$	Thicknesses of the upper, lower oceans and the entire ocean	500, 3500, 4000 m
$T_1^*, T_2^*$	Restoring temperatures of boxes 1 and 2	25°C, 7°C
τ	Restoring coefficient of boxes 1 and 2	$3.171 \times 10^{-8}  s^{-1}$
$\overline{S_1}, \overline{S_2}, \overline{S_3}, \overline{S_4}$	Equilibrium salinities of boxes 1, 2, 3 and 4	36, 33.5, 33.5, 33.5 psu
$\overline{q}$	Equilibrium strength of AMOC	$10  Sv  (10^6  m^3  s^{-1})$
F <sub>w</sub>	Surface freshwater flux	$25.0  psu \cdot Sv$
λ	Linear closure coefficient	$12  Sv \cdot kg^{-1}  m^3$
$ ho_0$	Reference seawater density	$1.00 \times 10^3 \ kg \ m^{-3}$
α	Thermal expansion coefficient	$1.468 \times 10^{-4} \text{ °C}^{-1}$
β	Haline contraction coefficient	$7.61 \times 10^{-4}  psu^{-1}$

164 We linearize Eq. (1) as follows:

165 
$$V_1 \dot{T}'_1 = q' \left( \overline{T_4} - \overline{T_1} \right) + \overline{q} (T_4' - T_1') - V_1 \tau T_1'$$
(5*a*)

166 
$$V_2 \dot{T}'_2 = q' \left( \overline{T_1} - \overline{T_2} \right) + \overline{q} (T'_1 - T'_2) - V_2 \tau T'_2$$
(5b)

167 
$$V_3 \dot{T}'_3 = \overline{q} (T'_2 - T'_3)$$
(5c)

168 
$$V_4 \dot{T}'_4 = \overline{q} (T'_3 - T'_4)$$
(5*d*)

169 
$$V_1 \dot{S}'_1 = q'(\bar{S}_4 - \bar{S}_1) + \bar{q}(S'_4 - S'_1)$$
(5e)

170 
$$V_2 \dot{S}'_2 = q' (\bar{S}_1 - \bar{S}_2) + \bar{q} (S'_1 - S'_2)$$
(5f)

171 
$$V_3 \dot{S}'_3 = \overline{q} (S'_2 - S'_3)$$
 (5g)

172 
$$V_4 \dot{S}'_4 = \overline{q} (S'_3 - S'_4) \tag{5h}$$

In LY22, we assumed an extremely strong vertical mixing between subpolar boxes 2 and 3; the 4S model can be reduced to a 3-box salinity-only model (hereafter 3S). Applying the same treatment to the 4TS model, a 3-box temperature-salinity model (hereafter 3TS; Fig. 1b) can be obtained. Now, Eqs. (4c-e) become,

177 
$$\Delta \rho_T' = -\rho_0 \alpha [T_2' - \delta T_1' - (1 - \delta) T_4']$$
(6a)

178 
$$\Delta \rho'_{S} = \rho_{0} \beta [S'_{2} - \delta S'_{1} - (1 - \delta) S'_{4}]$$
(6b)

179 
$$\delta = \frac{V_1}{V_1 + V_4} = \frac{D_1}{D}$$
(6c)

180 and Eqs. (5a-h) are reduced to:

181 
$$V_1 \dot{T}'_1 = q' \left( \overline{T_4} - \overline{T_1} \right) + \overline{q} (T'_4 - T'_1) - V_1 \tau T'_1$$
(7*a*)

182 
$$V_2 \dot{T}'_2 = q' \left( \overline{T_1} - \overline{T_2} \right) + \overline{q} (T'_1 - T'_2) - V_2 \tau T'_2$$
(7b)

183 
$$V_4 \dot{T}'_4 = \overline{q} (T'_2 - T'_4)$$
(7c)

184 
$$V_1 \dot{S}'_1 = q'(\bar{S}_4 - \bar{S}_1) + \bar{q}(S'_4 - S'_1)$$
(7*d*)

185 
$$V_2 \dot{S}'_2 = q'(\bar{S}_1 - \bar{S}_2) + \bar{q}(S'_1 - S'_2)$$
(7e)

186 
$$V_4 \dot{S}'_4 = \overline{q} (S'_2 - S'_4) \tag{7f}$$

187

188 b. Stability analysis

Let us first examine the eigenvalues of the 4TS model. Table 2 lists the eight eigenvalues of Eq.

190 (5) using the parameters in Table 1. The eigenvalues in the 4S model of LY22 using the same

191 parameters are listed in Table 2 for comparison.

4TS	4S	Physical significance	
$-0.55 \pm 6.59i$	0.31 ± 5.83 <i>i</i>	Oscillatory mode	
0	0	Zero mode	
-366		Damped mode	
-324		Damped mode	
-37.4	-37.4	Damped mode	
-5.28	_	Damped mode	
-0.78		Damped mode	

192 TABLE 2. Eigenvalues  $(10^{-10} s^{-1})$  for the 4TS and 4S models using the parameters of Table 1.

193

194 There is still a pair of conjugate eigenvalues  $(-0.55 \pm 6.59i)$  in the 4TS model. The weakly unstable oscillatory mode  $(0.31 \pm 5.83i)$  in the 4S model becomes a weakly damped oscillatory mode 195 196 in the 4TS model (Fig. 3a). That is, the e-folding time changes from positive 1025 years in the 4S model to negative 576 years in the 4TS model, and the period changes from 340 years to about 300 197 years. This seems to suggest that the thermal processes have a stabilizing effect on the system, and 198 tend to shorten the oscillation period slightly (Fig. 3a). The zero mode (eigenvalue 0) represents the 199 climatological mean state. The other five eigenvalues in the 4TS model represent five purely damped 200 modes, which are not of our concern. 201

The stability of the box model system is strongly dependent on the linear closure parameter  $\lambda$ , i.e., the sensitivity of the AMOC to the meridional density gradient as formulated in Eq. (4b). The critical role of  $\lambda$  and its physical explanation can be found in LY22. In this paper, we simply solve Eqs. (5) and (7) numerically to investigate how  $\lambda$  affects the stabilities of the 4TS and 3TS models. Figure 2 shows the dependence of real and imaginary parts of the oscillatory mode on  $\lambda$ . The results from the 4S and 3S models in LY22 are also plotted in Fig. 2 for comparison. The intersections

between line y = 0 and the stability diagrams of each model,  $(\lambda_c, 0), (\lambda_1, 0)$  and  $(\lambda_2, 0)$ , correspond 208 to the instability threshold (Fig. 2b), the lower and upper limits for the existence of the imaginary 209 parts (Fig. 2a), respectively. Their values are listed in Table 3. When  $\lambda \ge \lambda_2$  or  $\lambda \le \lambda_1$ , only purely 210 growing or damped modes without oscillatory potentials exist, suggested by the corresponding 211 positive or negative real parts (Fig. 2b). When  $\lambda_1 < \lambda < \lambda_2$ , the systems exhibit oscillatory behavior 212 because of the presence of the imaginary parts (Fig. 2a). With the increase of  $\lambda$ , the models have the 213 tendency to change from a damped oscillation to a growing oscillation. In comparison with the 4S and 214 3S models of LY22, it appears that including the temperature equations in the system has at least two 215 consequences: 216

(a) An acceleration of the oscillation, evidenced by the larger imaginary parts in the 4TS and 3TS
 models (Fig. 2a, orange curves) than in the 4S and 3S models (Fig. 2a, black curves).

(b) An overall stabilization for the system, evidenced by the higher  $\lambda_c$  in the 4TS and 3TS models

than in the 4S and 3S models listed in Table 3, and the smaller real parts in the 4TS and 3TS

221 models (Fig. 2c, orange lines) than in the 4S and 3S models (Fig. 2c, black lines).



FIG. 2. Dependences of (a) imaginary parts and (b) real parts of the oscillatory mode on  $\lambda$  in the 4TS (solid orange curves), 3TS (dashed orange curves), 4S (solid black curves), and 3S (dashed black curves) models. (c) is the magnified version of (b) near line y = 0. Results of the 4S and 3S models are from LY22. The units of the ordinate are  $10^{-10}$  s<sup>-1</sup>. The values of the other parameters are the same as those listed in Table 1. The vertical dashed gray line denotes the situation under the standard value  $\lambda = 12 Sv \cdot kg^{-1}m^3$ .

	4TS	3TS	4S	38
$\lambda_{C}$	13.20	13.06	11.44	12.39
$\lambda_1, \lambda_2$	-0.92, 26.80	-0.70, 23.24	-0.89, 20.44	-0.69, 21.46

TABLE 3. Values for  $\lambda_c$ ,  $\lambda_1$  and  $\lambda_2$  (units:  $Sv \cdot kg^{-1} m^3$ ) in different box models.

229

The stability analyses provide us the mathematical fundamentals, showing how the oscillatory behaviors of the system change when the thermal process is included. Physical insight into why the oscillation changes will be deliberated next.

234

## **3. Effects of temperature equations**

## 236 a. Temperature feedbacks

There are mainly two feedbacks between the thermal process and the AMOC: the negative 237 temperature-advection feedback and the positive restoring-advection feedback. Let us illustrate them 238 using box 2 (Fig. 3b). Starting with a positive perturbation of q', the anomalous advection 239  $q'(\overline{T_1} - \overline{T_2})$  transports more warm water northward,  $T'_2$  is increased and thus  $\Delta \rho'_T$  is lowered, causing 240 a decrease in  $q'_T$ . This is the negative temperature-advection feedback, which can be further illustrated 241 by the lead/lag correlation between  $q'(\overline{T_1} - \overline{T_2})$  and  $q'_T$ : the former leads the latter by about  $\pi/4$  with 242 a negative correlation near 1.0 (Fig. 3e, orange curve). However, the increased  $T'_2$  also triggers a 243 relaxation via the anomalous restoring  $-V_2\tau T'_2$ , whose strength is proportional to the restoring 244 coefficient  $\tau$ . This limits the growth of the positive  $T'_2$  itself, bounding the decreases of  $\Delta \rho'_T$  and  $q'_T$ . 245 This is the positive restoring-advection feedback, which is also illustrated clearly in Fig. 3e (green 246 curve):  $-V_2 \tau T'_2$  leads  $q'_T$  by about  $\pi/4$  with a positive correlation near 1.0. These two feedbacks are 247 local ones, which have the comparable amplitude and can offset each other mostly (Fig. 3b). There is 248 a third feedback coming from  $\overline{q}(T'_1 - T'_2)$  of Eq. (5b). This term is related to the remote response  $T'_1$ , 249 and is a weak positive feedback (Figs. 3b, e). 250





FIG. 3. (a) Damped and growing oscillations in the 4TS and 4S models using the standard parameters in Table 252 1. Black, orange and green curves are the time series of  $T'_2$  (units: °C),  $S'_2$  (units: *psu*) in the 4TS model and  $S'_2$ 253 in the 4S model, respectively. (b) Time series for temperature terms (units:  $Sv \cdot C$ ) on the right-hand side of 254 Eq. (5b); (c) time series for salinity terms (units:  $Sv \cdot psu$ ) on the right-hand side of Eq. (5f); (d) time series for 255 q',  $q'_T$  and  $q'_S$  (units: Sv) in the 4TS model. The vertical dashed gray lines in (a)-(d) mark the locations of  $\pi/2$ , 256  $\pi$ ,  $3\pi/2$ , and  $2\pi$  of the period (302 years) in the 4TS model. (e) Lead/lag correlation coefficients between  $q'_T$ 257 and  $\overline{q}(T_1' - T_2')$  (dotted black curve),  $q'(\overline{T_1} - \overline{T_2})$  (solid orange curve) and  $-V_2\tau T_2'$  (solid green curve) in the 258 4TS model. (f) Lead/lag correlation coefficients between  $q'_{S}$  and  $\overline{q}(S'_{1} - S'_{2})$  (solid black curve), and between 259  $q'_s$  and  $q'(\overline{S_1} - \overline{S_2})$  (solid orange curve) in the 4TS model. In (e)-(f), the negative lag represents q' lags the 260 261 other terms.

The salt-advection feedback in the 4TS model is nearly identical to that in the 4S model of LY22. The positive and negative feedbacks come from terms  $q'(\overline{S_1} - \overline{S_2})$  and  $\overline{q}(S'_1 - S'_2)$  (Figs. 3c, f), respectively. Note that q' is the sum of salinity-induced  $q'_S$  and temperature-induced  $q'_T$ . These two components are roughly out of phase; and the former is much bigger than the latter (Fig. 3d), suggesting that the salt-advection feedback has more remarkable effect on the AMOC than the temperature-advection feedback does. In addition, although introducing the fast-restoring processes leads to an obvious time lag between the thermal process and the AMOC (Fig. 3e), there is almost no time lag between the saline process and the AMOC (Fig. 3f), further suggesting the deterministic role

of the saline process in the multicentennial oscillation of the AMOC.

Results shown in Fig. 3 are obtained from forward numerical integration of Eq. (5) with the

standard parameters in Table 1. The fourth-order Runge-Kutta method is used to solve Eq. (5), with

274  $S'_1(t=0) = -0.02 \text{ psu}$  at the first time step, and S' = T' = 0. The integration time step is 7.2 days,

and the total integration length exceeds 10000 years, but only the very front parts are shown.

- Throughout this paper, the same numerical method is employed for all experiments; and annual mean data is used for analysis.
- 278

# 279 b. Role of restoring feedback

It is the restoring feedback in the temperature equations that changes the oscillatory behavior of the system. To understand this better, let us first examine how the restoring timescale affects the temperature-advection feedback. Based on Eq. (2a), we have,

283 
$$\overline{T_1} - \overline{T_2} = (T_1^* - T_2^*) / \left[ \frac{\overline{q}(V_1 + V_2)}{V_1 V_2 \tau} + 1 \right]$$
(8)

This depicts a larger  $\tau$  (or a shorter restoring timescale) causes a larger  $\overline{T_1} - \overline{T_2}$ , thus stronger advection  $q'(\overline{T_1} - \overline{T_2})$ . However, since the negative temperature-advection feedback is realized through an increase in  $T'_2$ , which is in turn limited by stronger  $-V_2\tau T'_2$ , the restoring-advection feedback tends to always offset mostly the temperature-advection feedback, regardless of the restoring strength (Fig. 3b).

TABLE 4. Conjugate eigenmodes in the 4TS model under different  $\tau$ .

τ	Eigenvalues $(10^{-10} s^{-1})$	E-folding time (Years)	Period (Years)
$ au_0 = 0$	$0.31 \pm 5.83i$	1025	341
$\tau_1 = (5 \ year)^{-1}$	$-1.48 \pm 7.30i$	-215	273
$\tau_2 = (1 \ year)^{-1}$	$-0.55 \pm 6.59i$	-576	302
$\tau_3 = (0.25 \ year)^{-1}$	0.036 ± 6.09 <i>i</i>	8830	327

THC_MultiCentennial_Theory_P2	2_20221004.docx, Yang et al.,	10/5/2022		4
$\tau_4 = (1 \text{ month})^{-1}$	$0.21 \pm 5.92i$	1492	336	
$\tau_5 = (1 minute)^{-1}$	$0.31 \pm 5.83i$	1025	341	

There are two extreme situations. When  $\tau \to 0$  or  $\tau \to \infty$ , that is, the restoring timescale for SST 291 goes to either infinity or zero, the oscillatory eigenmode  $(0.31 \pm 5.83i)$  in the 4TS model is identical 292 to that in the 4S model (Table 4). Under these two situations, the thermal process has no effect on the 293 294 AMOC oscillation, and the 4TS model is practically reduced to the 4S model. In the situation with  $\tau \rightarrow 0$ , the linearized temperature equations (Eqs. 5a-d) are identical to the linearized salinity 295 equations (Eqs. 5e-h), and the combined temperature and salinity equations are equivalent to the 296 salinity equations in the 4S model. Now,  $\overline{T_1} = \overline{T_2} = \overline{T_3} = \overline{T_4}$  based on Eqs. (2) and (8). There is no 297 temperature-advection feedback  $(q'(\overline{T_1} - \overline{T_2}) = 0)$  anywhere, so the system is totally controlled by 298 the saline process. In the situation with  $\tau \to \infty$ ,  $\overline{T_1} - \overline{T_2} = T_1^* - T_2^*$ . The extremely strong restoring 299 kills any temperature perturbations immediately, which makes  $T'_1 = T'_2 = 0$  and the 4TS system is 300 301 equivalent to a system without active thermal process, so that only the saline process matters to the 302 oscillatory behavior. In summary, under these two extreme situations, the results from linear stability 303 analysis suggest the oscillations of salinity and AMOC are identical to those of the 4S model in LY22; as a result, the corresponding figures (Figs. 2-3 when  $\tau \to 0$  or  $\tau \to \infty$ ) are not shown. 304

305 The dependences of imaginary and real parts of the oscillatory mode on  $\tau$  in the 4TS model are shown in Fig. 4 (solid orange curves). Under a reasonable range of  $\tau$  (from  $\tau_1$  to  $\tau_4$ ; Table 4), the 306 oscillatory behavior of the 4TS model can vary from a damped oscillation to a weakly growing 307 308 oscillation (Fig. 4b, solid orange curve). This is because the positive restoring-advection feedback becomes stronger as the restoring timescale gets shorter; and the system changes from under-309 310 compensation to overcompensation, to the negative temperature-advection feedback. Compared with the 4S model (Fig. 4b, solid black line), the 4TS model is generally more stable, manifested by the 311 negative or longer positive e-folding time. Even for a very short SST restoring timescale (one to 312 several months) (Table 4), the positive e-folding time of the oscillatory mode in the 4TS model is still 313 much longer than that in the 4S model. This is because for any given  $\tau$  and  $\lambda$ , temperature-induced  $q'_T$ 314 is always opposite to salinity-induced  $q'_{s}$ , so that the total q' is always smaller, i.e., the AMOC 315 sensitivity to buoyancy perturbation is always weaker in the 4TS model than in the 4S model. In 316 317 addition, including the fast thermal restoring process leads to a shorter oscillation period in the 4TS model than in the 4S model (Fig. 4a), because the superimposition of a quick timescale and a slow 318

- timescale leads to a timescale in between. Practically, since a reasonable restoring timescale is always
- 320 much shorter than the multicentennial timescale, the effect of restoring timescale on the oscillation
- 321 period of the system can be neglected.



FIG. 4. Dependence of (a) positive imaginary parts and (b) real parts of the oscillatory mode on τ (units: year<sup>-1</sup>)
in the 4TS model (solid orange curve) and the 3TS model (dashed orange curve). The units of the ordinate are
10<sup>-10</sup> s<sup>-1</sup>. The vertical dashed gray lines from left to right denote the situations under τ<sub>1</sub>, τ<sub>2</sub>, τ<sub>3</sub>, τ<sub>4</sub>, and τ<sub>5</sub>,
respectively. The reference oscillatory modes in the 4S and 3S models are plotted as the solid and dashed black
lines, respectively, which are independent of τ. Here, λ is set to 12 Sv · kg<sup>-1</sup> m<sup>3</sup>. The values of the other
parameters are the same as those listed in Table 1.

329

The restoring timescale also affects the relative stability of the 4TS and 3TS models. As shown 330 in Fig. 1, under extremely strong vertical mixing in the subpolar ocean, the 4-box model (Fig. 1a) can 331 be reduced to a 3-box model (Fig. 1b). LY22 showed that the 3S model is always more stable than the 332 4S model. Here, we find that including the thermal process, the change of stability from the 4TS to 333 334 3TS model is not that obvious (Fig. 4). To better understand the stability change, we should first recognize that whether the temperature and salinity anomalies stay in the subpolar upper or lower 335 ocean does not influence the meridional density gradient due to the vertically weighted volume-336 averaged treatment. However, the time consumed in transporting temperature and salinity anomalies 337 338 from the upper to lower ocean is omitted; consequently, they are removed faster from the subpolar region in the 3-box model, which reduces their restraining and amplification effects on  $q'_T$  and  $q'_S$ , 339 340 respectively. Therefore, the removals of temperature and salinity related stratifications in the 3TS model have destabilizing and stabilizing effects, respectively, on the oscillation of the system. 341



FIG. 5. Lead/lag correlation coefficients between  $T'_2 - T'_3$  and  $q'_T$  (orange curves) and between  $S'_2 - S'_3$  and  $q'_S$ (black curves) in the 4TS model under different  $\tau$ . The negative lag represents q' lags the other terms. Here,  $\lambda$ is set to  $12 Sv \cdot kg^{-1} m^3$ . The values of the other parameters are the same as those listed in Table 1.

346

In the 4TS model, the subpolar temperature stratification  $T'_2 - T'_3$  leads  $q'_T$  by about  $\frac{\pi}{2}$  with a 347 negative correlation, while the subpolar salinity stratification  $S'_2 - S'_3$  leads  $q'_s$  by  $\frac{\pi}{2}$  with a positive 348 correlation (Fig. 5). Moreover, at lag 0,  $T'_2 - T'_3 (S'_2 - S'_3)$  also has negative (positive) correlation with 349  $q'_{\tau}$  ( $q'_{s}$ ). These correlation relationships do not rely on the temperature restoring coefficient  $\tau$ . These 350 confirm that the existences of subpolar temperature and salinity related stratifications have stabilizing 351 352 and destabilizing effects, respectively, on the system. However, whether the total subpolar buoyancy stratification plays as a stabilizing or destabilizing role depends on  $\tau$ . When  $\tau$  lies in the range of 353 about several years (from  $\tau_1$  to  $\tau_2$ ; Fig. 4b), the subpolar buoyancy stratification plays as a stabilizing 354 factor since the stabilizing effect of temperature stratification overcomes the destabilizing effect of 355 salinity stratification. When  $\tau$  is too small or too large, the temperature effect becomes weaker while 356 the salinity effect is not influenced. Hence, the temperature stratification no longer overcomes the 357 salinity stratification; and the 3TS model is more stable than the 4TS model, that is, including extreme 358 mixing in the subpolar ocean can stabilize the system, as deliberated in LY22. We conclude that 359 under realistic ranges of the parameters, the 4TS model can be more stable than the 3TS model, due to 360 the stabilizing effect of subpolar temperature stratification. 361

362

### 363 **4. Realization of self-sustained oscillation**

Self-sustained oscillation is still absent in the 4TS model. Under the same parameters, the 4TS 364 model is more stable than the 4S model in LY22 (Fig. 2c), as discussed in section 3. However, this 365 does not lead to a self-sustained oscillation in the 4TS model; clearly, additional processes are 366 needed. In LY22, an enhanced mixing process is added explicitly in the subpolar ocean, to realize a 367 self-sustained oscillation. There is also an alternative way to realize a self-sustained oscillation as 368 shown in Rivin and Tziperman (1997) (hereafter RT97), in which a nonlinear relationship between 369 the AMOC strength and meridional density gradient is employed. Here, we want to emphasize that a 370 self-sustained oscillation should first satisfy the instability criterion detailed in LY22, that is,  $\lambda > \lambda_c$ , 371 372 depicting that the AMOC should be sensitive enough to the perturbation of meridional density 373 gradient, and the intrinsic oscillatory mode is an unstable mode. When  $\lambda \leq \lambda_c$ , the oscillatory mode itself is a decayed or neutral mode; and any additional mixing or nonlinear processes will make the 374 375 oscillation even more decayed.

376

383

# 377 a. Self-sustained oscillation with enhanced subpolar mixing

Similar to LY22, we introduce an enhanced mixing term between boxes 2 and 3 in the 4TS
model. Eqs. (5b-c) and (5f-g) become,

380 
$$V_2 \dot{T}'_2 = q' \left( \overline{T_1} - \overline{T_2} \right) + \overline{q} \left( T'_1 - T'_2 \right) - k_m (T'_2 - T'_3) - V_2 \tau T'_2$$
(9a)

381 
$$V_3 \dot{T}'_3 = \overline{q} (T'_2 - T'_3) + k_m (T'_2 - T'_3)$$
(9b)

382 
$$V_2 \dot{S}'_2 = q' (\overline{S_1} - \overline{S_2}) + \overline{q} (S'_1 - S'_2) - k_m (S'_2 - S'_3)$$
(9c)

$$V_3 \dot{S}'_3 = \overline{q} (S'_2 - S'_3) + k_m (S'_2 - S'_3)$$
(9d)

And the mixing coefficient  $k_m$  (units:  $m^3/s$ ) is represented by:

$$k_m = \kappa q'^2 \tag{9e}$$

Here,  $\kappa$  (units:  $m^{-3}s$ ) is a positive constant. We set it to  $1 \times 10^{-4} m^{-3}s$  in this paper. No matter the sign of q',  $k_m$  is always positive and helps remove the subpolar upper-ocean anomalies. Detailed physics of the enhanced mixing process was discussed in LY22.

A growing oscillation (Fig. 6a, solid black curve) is turned into a self-sustained oscillation (Fig. 6a, solid orange curve) when enhanced subpolar mixing is included. Here,  $\lambda = 14 Sv \cdot kg^{-1} m^3$ ; and the intrinsic mode of the 4TS model is unstable. As q' grows (decreases), more warm and saline (cold and fresh) water is removed from the subpolar upper ocean, which enters the lower ocean through anomalous mixings  $-k_m(T'_2 - T'_3)$  and  $-k_m(S'_2 - S'_3)$  (Figs. 6b, c, solid orange curves). In turn, further growth (decrease) of q' is restrained. Beware that the temperature and salinity mixingadvection feedbacks have destabilizing and stabilizing effects on q', respectively (Fig. 6d). Their combined effect on subpolar density is to stabilize q'. In summary, including enhanced mixing in the subpolar ocean can well establish a self-sustained oscillation, which can be seen more clearly in the phase diagram of  $T'_2$  vs  $S'_2$  (Fig. 6e, orange curve); that is, a limit cycle is formed eventually.



399

FIG. 6. Oscillations under  $\lambda = 14 \, Sv \cdot kg^{-1} \, m^3$ . (a) Time series for q' (solid black curve) under  $\kappa = 0, q'$ 400 (solid orange curve),  $q_T'$  (solid green curve) and  $q'_S$  (dashed green curve) under  $\kappa = 1 \times 10^{-4} m^{-3} s$  (units: 401 Sv). (b) Time series for  $T'_2$  (solid black curve; units: °C) and  $-k_m(T'_2 - T'_3)$  (solid orange curve; units:  $Sv \cdot °C$ ). 402 (c) Time series for  $S'_2$  (solid black curve; units: psu) and  $-k_m(S'_2 - S'_3)$  (solid orange curve; units:  $Sv \cdot psu$ ). 403 (d) Lead/lag correlation coefficients for  $-k_m(T'_2 - T'_3)$  and  $q'_T$  (solid black curve),  $-k_m(S'_2 - S'_3)$  and  $q'_S$ 404 (solid orange curve). (e)  $T'_2$ - $S'_2$  phase space diagrams for years 1-10000. The red dot represents the initial 405 location of  $T'_2$  and  $S'_2$ . Black curve is for  $\kappa = 0$ , and orange curve, for  $\kappa = 1 \times 10^{-4} m^{-3} s$ . The vertical dashed 406 gray line in (a), (b) and (c) marks a holonomic oscillation period under  $\kappa = 1 \times 10^{-4} m^{-3} s$ . The values of the 407 other parameters are the same as those listed in Table 1. 408 409



412 dominated by advection instead of the mixing process. The  $\kappa$  chosen here is one order smaller than 413 the value used in LY22, suggesting that even a small bounding from subpolar vertical mixing can lead 414 to self-sustained oscillation.

415

# 416 b. Self-sustained oscillation with nonlinear AMOC-density relation

It has been prevailing to set the sensitivity of the AMOC in low-order models to be linearly proportional to the meridional density gradient (Stommel 1961; GT95; Zhao et al. 2016; Shi and Yang 2021). As an alternative, we use a nonlinear relation analogous to Cessi (1994) and RT97 to introduce a degree of nonlinearity in this study. Now, Eq. (4b) becomes,

421  

$$q' = \begin{cases} \lambda \rho_{cri} \left[ k \left( \left[ \frac{\Delta \rho'}{\rho_{cri}} \right]^{\frac{1}{k}} - 1 \right) + 1 \right], & \text{if } \Delta \rho' > \rho_{cri} \\ \lambda \Delta \rho' & \text{if } -\rho_{cri} < \Delta \rho' < \rho_{cri} \\ -\lambda \rho_{cri} \left[ k \left( \left[ -\frac{\Delta \rho'}{\rho_{cri}} \right]^{\frac{1}{k}} - 1 \right) + 1 \right], \text{if } \Delta \rho' < -\rho_{cri} \end{cases}$$
(10)

At k = 1, Eq. (10) is reduced to the linear Eq. (4b); and the system exhibits growing oscillation under 422  $\lambda = 14 \ Sv \cdot kg^{-1} \ m^3$  (Figs. 6a, 7a, black curves). If k = 1.05 with  $\rho_{cri} = 0.002 \ kg/m^3$ , a small 423 424 degree of nonlinearity (Fig. 7c, orange curve) will be introduced into the linear system. The selfsustained oscillation is then realized (Fig. 7a, orange curve), and a limit-cycle is achieved (Fig. 7b, 425 orange curve). The intersections between the vertical dashed gray lines and the abscissa axis in Fig. 426 7c mark the upper and lower limits for  $\Delta \rho'$  during the integration. As  $\Delta \rho'$  grows, the nonlinear 427 bounding effect of Eq. (10) gradually emerges, limiting the fluctuation tendency of q'. The bounding 428 manifested as the difference between the solid and orange curves is very small (Fig. 7c). Hence, even 429 a tiny degree of internal nonlinearity from the AMOC-meridional density gradient relation can lead to 430 431 self-sustained oscillation. The period here is 303 years, hardly deviated from the 306-year eigen period of the linear system, reflecting again the robustness of the advection-dominated eigenmode. 432



440

FIG. 7. Oscillations under  $\lambda = 14 Sv \cdot kg^{-1} m^3$ . (a) Time series for q' (units: Sv) under k = 1 (black curve) and k = 1.05 (orange curve). (b)  $T'_2 S'_2$  phase space diagrams for years 1-10000. The red dot represents the initial location of  $T'_2$  and  $S'_2$ . Black curve is for k = 1, and orange curve, for k = 1.05. (c) Variations of q' with  $\Delta \rho'$  (units:  $kg/m^3$ ) under k = 1 (black curve) and k = 1.05 (orange curve). The intersections between the vertical dashed gray lines and the abscissa axis mark the upper and lower limits for  $\Delta \rho'$  during the integration. The values of the other parameters are the same as those listed in Table 1.

# 441 **5. Eigenmode sensitivity**

In theoretical models, model parameters can be tuned to control oscillation properties. GT95 442 identified a multidecadal oscillation in their 4TS model, whose period is far shorter than our 443 multicentennial period. RT97 used a 3TS model, and also identified a multi-decadal mode. The 444 system stability in RT97's model is far lower than ours. Since both GT95 and RT97 also employed 445 mixed boundary conditions, and their core dynamics are all advection feedbacks, such differences in 446 eigenmodes are likely to originate from parameter choices. Previously studies usually tuned 447 parameters to study multi-equilibria problems (Colin de Verdière et al. 2006; Colin de Verdière 2007; 448 449 Sévellec et al. 2010; Sévellec and Fedorov 2014). In single-equilibrium oscillation studies, the parameters effects on the eigenmode have not been widely heeded, which will be addressed next via 450 numerical stability analyses. 451

452

# 453 a. Effect of basin geometry

Basin geometry can affect both the period and e-folding time of the system eigenmode (Fig. 8). The eigen period increases roughly monotonously with the increases of both the subpolar ocean fraction  $(V_2 + V_3)/V$  and the upper ocean fraction  $(V_1 + V_2)/V$  (Fig. 8a). The standard geometry is

 $(V_1 + V_2)/V = 1/8$  and  $(V_2 + V_3)/V = 1/6$  in this paper, denoted by the orange stars in Fig. 8. In 457 GT95, the fractions of the upper and subpolar ocean boxes are both 1/11, falling in the lower left 458 corner of Fig. 8 (denoted by the green star), with a period less than 200 years if  $\overline{q}$  is set to 10 Sv. 459 Actually, the  $\overline{q}$  in GT95 was set to a higher value of about 17 Sv, representing a much faster 460 overturning rate; thus, the period is further shortened to the century scale. Consequently, it is 461 reasonable to deduce that the multi-decadal period in GT95 is not at odds with our multicentennial 462 period. Popularity of multi-decadal phenomena back then might account for their choice of model 463 464 parameters.



FIG. 8. Sensitivity of (a) period (units: years) and (b) e-folding time (units: years) of the eigenmode to subpolar ocean fraction  $(V_2 + V_3)/V$  and upper ocean fraction  $(V_1 + V_2)/V$  under  $\lambda = 14 Sv \cdot kg^{-1} m^3$ . The orange star denotes the mode with standard values used in this work. The green and black stars denote the standard values used in GT95 and RT97, respectively. The solid orange curve is both the stability threshold and the lower limit of probability for self-sustained oscillation. The dashed orange curve is the upper limit of

probability for self-sustained oscillation. The light gray areas correspond to purely damped or growing regime
without the imaginary part. The oscillatory mode is damped in region 1, potentially self-sustained in region 2 if
bounding terms are affiliated, and growing in region 3. The values of the other parameters are the same as
those listed in Table 1.

475

In Fig. 8b, a higher  $(V_1 + V_2)/V$  and a lower  $(V_2 + V_3)/V$  are linked to lower stability. This 476 explains why the mode in RT97 can be easily unstable even under a low AMOC sensitivity 477 (equivalent to  $\lambda = 5.7 \ Sv \cdot kg^{-1} \ m^3$  in this paper). The basin geometry of RT97 is denoted by the 478 479 black star in Fig. 8. Their high-latitude box stands for a small deep-water formation region instead of the subpolar region, so it was set to only around 1/100 the volume of the entire ocean basin. However, 480 481 their upper ocean is as large as 1/4 of the entire ocean basin. Therefore, the low stability seen in RT97 owes to their volume configuration, according to our stability analyses. The light gray areas in Fig. 8 482 denote purely damped or growing region without oscillatory potentials. The solid orange curve 483 partitions the stable and unstable regions, making itself also the lower limit for a possible self-484 sustained oscillation. The oscillatory mode is damped in region 1 because of negative real part, and is 485 growing due to positive real part in regions 2 and 3 (Fig. 8b). Nevertheless, we find that only in 486 region 2 could the self-sustained oscillation take place if bounding terms are affiliated, through a large 487 number of numerical experiments (not shown). No self-sustained oscillation is able to exist in region 488 3, which is separated from region 2 by the dashed orange curve. Compared to Fig. 9 in LY22, the 489 probability for a self-sustained oscillation is increased in the 4TS model, since the area of region 2 is 490 larger than that in the 4S model of LY22. 491

492

# 493 b. Effect of mean flow

Given the meridional density gradient, the total AMOC strength q is determined by its 494 495 sensitivity  $\lambda$  to the meridional density gradient and the equilibrium strength  $\overline{q}$ . The period decreases monotonically as  $\overline{q}$  increases (Fig. 9a), reflecting that a faster overturning leads to a shorter oscillation 496 period. Larger  $\lambda$  and smaller  $\overline{q}$  are both destabilizing factors (Fig. 9b). A larger  $\lambda$  results in more 497 498 intense fluctuation of q' under the same perturbation of meridional density gradient, contributing to a less stable system. A decreased  $\overline{q}$  weakens the equilibrium advection terms  $\overline{q}(T_1' - T_2')$  and 499  $\overline{q}(S'_1 - S'_2)$ , therefore limiting their destabilizing and stabilizing effects, respectively. The latter is 500 more evident due to the dominant role that salinity plays in establishing AMOC variability. The 501

- 502 combined effect of temperature and salinity advection under a smaller  $\overline{q}$  is to make the system more
- 503 unstable.



FIG. 9. Same as Fig. 8, but the ordinate and abscissa correspond to the equilibrium AMOC strength  $\overline{q}$  (units: 506 Sv) and the linear closure coefficient  $\lambda$  (units:  $Sv \cdot kg^{-1} m^3$ ), respectively.

507

508 c. Effect of boundary conditions

509 Mixed boundary conditions are adopted in the 4TS model, where  $T_1^*$  and  $T_2^*$  control the surface 510 heat flux while  $F_w$  controls the surface virtual salt flux. The meridional restoring temperature gradient 511  $T_1^* - T_2^*$  influences the system, while the exact values of  $T_1^*$  and  $T_2^*$  have no effect. Figure 10a shows 512 that the period shortens marginally with the increase of  $T_1^* - T_2^*$ , but exhibits a decrease-to-increase 513 tendency as  $F_w$  grows. Smaller  $T_1^* - T_2^*$  and larger  $F_w$  all lead to lower stability (Fig. 10b). From Eq. 514 (8), we derive that  $\overline{T_1} - \overline{T_2}$  lowers as  $T_1^* - T_2^*$  decreases; therefore, the temperature effects are

- that a faster restoring denoted by a smaller  $1/\tau$  also limits the temperature effects. Hence, the
- temperature effects are promoted by the increases in  $T_1^* T_2^*$  and  $1/\tau$ , followed by a more stable
- system with a shorter period. It can also be seen from Eq. (2b) that a larger  $F_w$  increases  $q'(\overline{S_1} \overline{S_2})$ ,
- so the destabilizing positive salt-advection feedback is reinforced, which is consistent with the finding

520 of Sévellec et al. (2006).



521

- FIG. 10. Same as Fig. 8, but the ordinate and abscissa correspond to the freshwater flux  $F_w$  (units:  $10 psu \cdot Sv$ ) and the meridional restoring temperature gradient  $T_1^* - T_2^*$  (units: °C), respectively.
- 524

# 525 6. Summary and discussion

As the second part of our theoretical studies on AMOC multicentennial variability, this study

527 complements LY22 by including temperature equations in the box model. Mixed boundary conditions

are employed for surface temperature and salinity. The thermal process includes the negative temperature-advection feedback and positive restoring-advection feedback. The latter never overruns the former; thus, the resultant temperature feedback is negative. Including the thermal process leads to an acceleration of oscillation because of the fast thermal-restoring process, a stabilization force for the system because of the negative temperature-advection feedback and a portion of stabilization for the subpolar stratification due to temperature stratification.

Similar to LY22, bounding processes are needed to realize a self-sustained oscillation, which can 534 535 be either enhanced subpolar mixing or weak nonlinearity in the AMOC-meridional density gradient relation. Multicentennial eigenmode is robust regardless of these bounding processes, because the 536 537 oscillatory eigenmode is fundamentally determined by advection processes. Only a tiny magnitude of 538 the bounding process is able to realize the self-sustained oscillation. Same as in LY22, the effects of 539 nonlinear temperature and salinity advection terms on the self-sustained oscillation are trivial and can 540 be safely neglected (figure not shown), and the external stochastic forcing can also excite a 541 sustainable multicentennial oscillation (figure not shown). Compared to the 4S model in LY22, the 542 probability for a self-sustained oscillation in the 4TS model is much increased with temperature 543 equations added.

Stability analyses reveal that the period and stability of the oscillatory eigenmode are sensitive to 544 model geometry, flow properties and boundary conditions. Generally, smaller subpolar and upper 545 546 oceans tend to shorten the period. Larger subpolar ocean and smaller upper ocean have stabilizing effects on the system. A stronger AMOC shortens the period due to the faster overturning rate, 547 548 stabilizing the system through balancing the positive salt-advection feedback more quickly. Higher 549 AMOC sensitivity to the meridional density gradient makes the system less stable. Increasing surface 550 freshwater flux energizes the destabilizing salt-advection feedback, and lowers the system stability, because the background meridional salinity gradient will be stronger. It also lengthens the period of 551 552 the system because more time is needed to consume the stronger background salinity gradient. Larger meridional restoring temperature gradient strengthens the thermal process; thus, it shortens the period 553 554 and increases the system stability.

The box model is highly idealized, aimed at providing heuristic understanding of the multicentennial AMOC oscillation. This work can also help us understand the prevalence of centennial to multicentennial AMOC oscillations found in a few pre-industrial control runs using high-order models (Vellinga and Wu 2004; Park and Latif 2008; Delworth and Zeng 2012; Yang et al. 2015; Jiang et al. 2021). Whether the multicentennial AMOC oscillations found in Earth system
models are self-sustained or stochastically-sustained is obscured by their intricate model physics.
However, our study suggests that a self-sustained oscillation can appear as long as a tiny magnitude
of nonlinearity or additional mixing is included, which is easy to realize in the realistic ocean.
Thereby, we conclude that even with random components removed completely, self-sustained
multicentennial oscillation has a good chance to exist in high-order models.

The core of the oscillation mechanism here is the advection process, consistent with many 565 566 previous studies (Mikolajewicz and Maier-Reimer 1990; Winton and Sarachik 1993; Drijfhout et al. 1996; Delworth and Zeng 2012). Sensitivity of period to model geometry is observed not only in our 567 568 theoretical model but also in higher complexity models (Weaver and Sarachik 1991; Drijfhout et al. 1996; Delworth and Zeng 2012). Since the flow rate and route affect the overturning rate, a more 569 570 precise simulation of AMOC structure and a finer model resolution are likely to improve the 571 simulation of AMOC oscillation. Although the boundary conditions in our model influence the 572 eigenmode, the essence for such impact is climate feedbacks. It inspires us that a better representation of climate feedbacks in high-order models may improve their performances. 573

574 The warming and freshwater hosing in the North Atlantic will reduce the meridional temperature gradient while enhance the meridional salinity gradient, hampering the negative temperature-575 576 advection feedback and strengthening the positive salt-advection feedback. On one hand, this implies 577 that the AMOC might march gradually toward (not necessarily reach) the collapse state (Gregory et al. 2005; Sévellec et al. 2017; Dai 2022), since its stability is likely to reduce, as revealed in this 578 579 paper. On the other hand, this also implies that the period for the multicentennial timescale portion of the AMOC oscillation is likely to be lengthened in the future, which has not gained attention yet. 580 581 However, the portion with decadal to multi-decadal periods of the AMOC is believed to be shortened under global warming scenario based on Rossby wave dynamics (Cheng et al. 2016; Ma et al. 2021). 582 583 As global warming persists, more attention should be paid to how the multicentennial AMOC period would change in the future, since the global warming might occur on the background of a 584 585 multicentennial oscillation.

This theoretical study can be improved in several aspects. The one-hemisphere configuration singles out only North Atlantic advection, and contributions from other ocean basins are not considered. Extending the one-hemisphere model into an inter-hemisphere one as in Scott et al. (1999) and in Lucarini and Stone (2005), or incoraporating the Arctic Ocean as in Lambert et al.

- 590 (2016) may provide more insightful results. Too few natural feedbacks are reserved in our model
- 591 because of the ocean-only configuration and mixed boundary conditions. Adding more feedbacks,
- such as meridional mositure transport feedback (Tziperman and Gildor 2002), wind forcing feedback
- 593 (Sherriff-Tadano and Abe-Ouchi 2020) and sea ice feedback (Jayne and Marotzke 1999), should
- <sup>594</sup> improve the authenticity of stability and other characteristics of AMOC oscillation.

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599

# 600 Data Availability Statement:

This is a theory-based article and no datasets were generated during the current study.

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