

1       **A Theory for Self-sustained Multicentennial Oscillation of the Atlantic**  
2       **Meridional Overturning Circulation. Part II: Role of Temperature**

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## Abstract

25 In the first part of our research on self-sustained multicentennial oscillation of the Atlantic  
26 meridional overturning circulation (AMOC), we used a hemispheric box model considering only the  
27 salinity equations. In this follow-up paper, we consider both thermal and saline processes in the box  
28 model, so as to investigate the role of temperature in multicentennial AMOC oscillation. The thermal  
29 processes exert mainly three effects: shortening the oscillation period, stabilizing subpolar  
30 stratification and thus the oscillation system. These three effects are caused by the fast surface  
31 temperature restoring process, the stabilizing subpolar temperature stratification, and the negative  
32 temperature advection feedback, respectively. Nonlinear restraining effect from enhanced subpolar  
33 mixing, or a nonlinear relation between AMOC anomaly and meridional difference of density  
34 anomaly, is still needed to realize a self-sustained oscillation, whose mechanism can be generalized as  
35 follows: a combination of a linearly growing oscillation dominated by linear advection and a  
36 nonlinear restraining process. This study advances the theory reported in the first part of this research.  
37 Linear stability analyses reveal that the eigenmode of the system is sensitive to model geometry, flow  
38 properties, and meridional differences of sea-surface temperature (SST) and sea-surface salinity  
39 (SSS). Our theoretical results suggest that, a smaller (larger) meridional SST (SSS) difference  
40 weakens (strengthens) the negative temperature (positive salinity) advection feedback which may lead  
41 to a less stable AMOC. Such heuristic findings may be expected in the future due to more intense  
42 warming and freshwater hosing at the high latitudes of the Northern Hemisphere.

43 **Keywords:** AMOC, Box model, Nonlinearity, Self-sustained oscillation, Temperature feedback,  
44 Multicentennial timescale

45

## 46 **1. Introduction**

47 Compared to the glacial-interglacial climate variation, the mid-Holocene to pre-industrial climate  
48 has been considered to be relatively stable (Grootes et al. 1993), thanks to the lack of drastic  
49 fluctuation of natural forcing (Otto-Bliesner et al. 2017) and of anthropogenic forcing. Although the  
50 natural forced variability is important, it is reasonable to deduce that internal variability was crucial  
51 for climate variability during that period. On the multicentennial timescale, it has been suggested that  
52 climate variability is strongly linked to the Atlantic meridional overturning circulation (AMOC)  
53 (Oppo et al. 2003; Hall et al. 2004; Miettinen et al. 2012; Chabaud et al. 2014; Ayache et al. 2018).  
54 However, the fundamental mechanism governing the multicentennial AMOC internal variability  
55 remains unclear.

56 Multicentennial AMOC variability has been reported in pre-industrial control simulations of  
57 several coupled climate models. Mechanisms provided in these studies can be categorized into at least  
58 three groups. The first group relates the multicentennial AMOC variability to the Arctic Ocean. Jiang  
59 et al. (2021) identified a 200-year period AMOC oscillation in the IPSL-CM6-LR model, and  
60 suggested it was due to sea-ice-induced salinity anomaly exchange between the Arctic Ocean and  
61 North Atlantic convection region. Utilizing the EC-Earth3 model with the same ocean component  
62 (NEMO 3.6) to that of the IPSL-CM6-LR, Meccia et al. (2022) found a 150-year AMOC oscillation  
63 bearing a similar mechanism. Oscillation periods of the first group of studies are hardly  
64 distinguishable from the centennial timescale, probably because their mechanisms are merely related  
65 to surface ocean processes instead of deeper ocean processes. The second group emphasizes the roles  
66 of the Southern Ocean and processes at depth. Park and Latif (2008) reported a multicentennial peak  
67 in the AMOC strength spectrum of their Kiel Climate Model. Their following studies attributed such  
68 variability to a bipolar ocean seesaw teleconnection mechanism (Martin et al. 2013, 2015); that is, an  
69 increase in the Antarctic Bottom Water (AABW) formation caused by a sudden strengthening in  
70 Southern Ocean deep convection weakens the North Atlantic Deep Water (NADW) formation and  
71 hence the AMOC strength. Drastic Southern Ocean deep convection is triggered when deep Southern  
72 Ocean heat accumulation becomes too extreme, whose timescale is set by the advection of warm  
73 water from the North Atlantic. A slightly different example can be seen in Delworth and Zeng (2012),  
74 where an AMOC oscillation with a period of 200-500 years was discerned in their GFDL-CM2.1  
75 simulation. The AMOC variation was directly controlled by salinity anomaly advected from the  
76 Southern Ocean all the way to the NADW formation sites, different from the aforementioned bipolar

77 seesaw mechanism. The third group proposes that no inter-hemispheric or Arctic Ocean related  
78 process is needed to sustain the multicentennial AMOC oscillation. Li and Yang (2022) (hereafter  
79 LY22) found a 300-400-year AMOC oscillation in a CESM1 control simulation, where the salinity  
80 advection feedback between the subtropical and subpolar North Atlantic operates as the essential  
81 mechanism. As a side note, the AMOC oscillation in Vellinga and Wu (2004) is driven by  
82 atmosphere-ocean feedback instead of internal oceanic processes, which leads to its centennial  
83 timescale, excluding itself from the multicentennial paradigm. Inconsistency between those  
84 mechanisms calls for theoretical model studies.

85       Theoretical studies of the AMOC tend to focus on its thermohaline portion, which is buoyancy-  
86 driven thus determined by two foremost elements, temperature and salinity variations. Adopting  
87 different restoring coefficients for temperature and salinity in a simple two-box model, Stommel  
88 (1961) found multiple equilibria in the system, which stems from competing effects of thermal and  
89 saline processes. The follow-up theoretical studies inspired by Stommel's idea primarily focused on  
90 multi-equilibria phenomenon due to the combined effect of temperature and salinity (Welander 1982;  
91 Joyce 1991; Huang et al. 1992; Cessi 1994; Scott et al. 1999; Zhang et al. 2002; Lucarini and Stone  
92 2005; Colin de Verdière 2007), revealing that both thermal and saline processes are indispensable.  
93 Given the relatively stable Holocene climate, we focus on small amplitude and sustainable climate  
94 variation around a single equilibrium, instead of abrupt climate shift suggested by the multi-equilibria  
95 phenomenon. Griffies and Tziperman (1995) (hereafter GT95) realized a stochastically sustained  
96 AMOC oscillation in their 4-box model. Using a 3-box model without separation between upper and  
97 deeper subpolar ocean, Rivin and Tziperman (1997) (hereafter RT97) found that the AMOC  
98 oscillation in their model could be sustained by either stochastic forcing or intrinsic nonlinearity.  
99 More recently, Wei and Zhang (2022) realized a self-sustained AMOC oscillation in their revised  
100 Stommel's 2-box model that consists of an Arctic Ocean box and a North Atlantic box. However,  
101 oscillations in those studies are on the multidecadal timescale. Roebber (1995) realized a 683-year  
102 stochastically sustained AMOC oscillation in a 3-box ocean model coupled with a Lorenz  
103 atmospheric model. Yet, this oscillation timescale is dominated by diffusion instead of advection  
104 process. Scott et al. (1999) and Lucarini and Stone (2005) demonstrated that there exist  
105 multicentennial oscillations around one of the several equilibria in their inter-hemispheric models,  
106 though the oscillations were unsustainable. In a loop model, Sévellec et al. (2006) found a 170-year  
107 self-sustained AMOC oscillation, while the regrets are the indistinguishability between meridional  
108 and vertical processes due to model simplicity, and the scarcity of discussion on the role of

109 equilibrium salinity difference advected by anomalous flow. It is therefore necessary to come up with  
110 a theoretical model directed at revealing the underlying mechanism for sustainable multicentennial  
111 AMOC internal variability.

112 Driven by this desire, our first publication of LY22 focused on the multicentennial AMOC  
113 oscillation in a single-hemispheric 4-box model, while we further looked into its self-sustained  
114 oscillation behavior. Since salinity variation dominates over temperature variation in regulating  
115 NADW formation (Delworth et al. 1993; Dong and Sutton 2005; Jiang et al. 2021), and for an easier  
116 access toward analytical solutions, the model in LY22 only retains salinity variation. Such approach  
117 trades temperature variation for model simplicity, and has been adopted in several theoretical studies  
118 (Winton and Sarachik 1993; Huang and Dewar 1996; Rahmstorf 1996; Cimatoribus et al. 2014;  
119 Sévellec and Fedorov 2014). However, excluding all the thermal processes is unphysical, since it has  
120 been shown that thermal processes can potentially affect the stability (Zhang et al. 1993; Nakamura et  
121 al. 1994; Rahmstorf and Willebrand 1995; Tziperman and Gildor 2002) and period (Schmidt and  
122 Mysak 1996) of an oscillatory AMOC system. Moreover, on the multicentennial timescale, the role of  
123 temperature variation in AMOC variability is not well studied. We thus add temperature variation in  
124 the salinity-only model of LY22.

125 In this study, we extend the 4-box salinity-only model (hereafter 4S) in LY22 to a temperature-  
126 salinity one by adding temperature equations. For studying AMOC oscillation mechanism within the  
127 framework of internal oceanic processes and maintaining an explicit picture for our theory, we  
128 employ mixed boundary conditions for both temperature and salinity equations. We aim to reveal the  
129 effects induced by thermal processes and their underlying causes. We then work on realization of self-  
130 sustained oscillation with restraining terms affiliated, in order to further explore the essential  
131 mechanism for the self-sustained AMOC oscillation. Finally, we examine the sensitivity of  
132 eigenmode to model parameters controlling model geometry, flow properties, and meridional  
133 differences of equilibrium sea-surface temperature (SST) and salinity (SSS).

134 This paper is structured as follows. In section 2, a 4-box temperature-salinity model (hereafter  
135 4TS) is introduced, followed by illustration of temperature and salinity feedbacks involved. In section  
136 3, the role of temperature equations is analyzed. In section 4, we test two ways for realizing self-  
137 sustained oscillation and come up with a more profound self-sustained AMOC oscillation mechanism.  
138 In section 5, we examine sensitivities of eigenmode's period and stability to model parameters.  
139 Summary and discussion are presented in section 6.

140

141 **2. Box model**142 *a. Model description*

143 The model used here is a hemispheric 4-box model with identical geometry to that in LY22 (Fig.  
 144 1a). The model domain is 60° in longitude, with the tropical and subpolar boxes spanning over 0°-  
 145 45°N and 45°-70°N, respectively. The AMOC moves through the boxes clockwise. We do not discuss  
 146 the possibility of a reversed AMOC cell, by excluding multi-equilibria. Analogous box models have  
 147 been widely used (Joyce 1991; Huang et al. 1992; Griffies and Tziperman 1995). In the 4-box  
 148 salinity-only model (hereafter 4S model) of LY22, only salinity equations were used. In the 4TS  
 149 model, we employ both temperature and salinity equations:

$$150 \quad V_1 \dot{T}_1 = q(T_4 - T_1) + V_1 \gamma (T_1^* - T_1) \quad (1a)$$

$$151 \quad V_2 \dot{T}_2 = q(T_1 - T_2) + V_2 \gamma (T_2^* - T_2) \quad (1b)$$

$$152 \quad V_3 \dot{T}_3 = q(T_2 - T_3) \quad (1c)$$

$$153 \quad V_4 \dot{T}_4 = q(T_3 - T_4) \quad (1d)$$

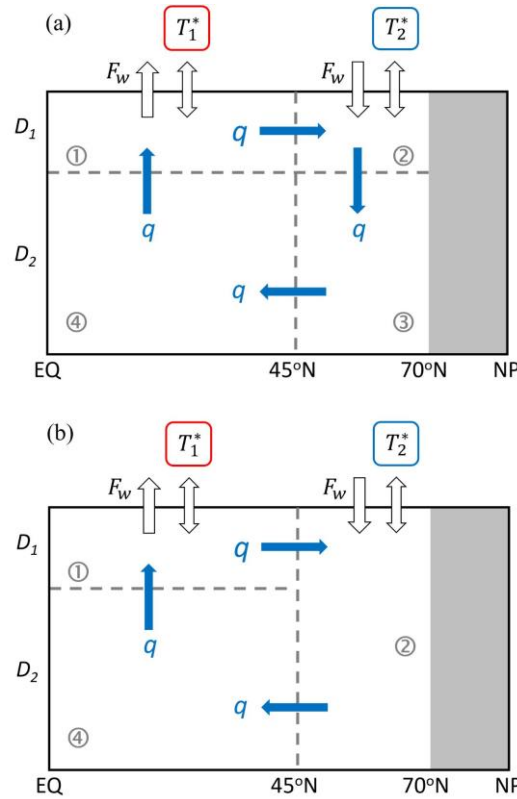
$$154 \quad V_1 \dot{S}_1 = q(S_4 - S_1) + F_w \quad (1e)$$

$$155 \quad V_2 \dot{S}_2 = q(S_1 - S_2) - F_w \quad (1f)$$

$$156 \quad V_3 \dot{S}_3 = q(S_2 - S_3) \quad (1g)$$

$$157 \quad V_4 \dot{S}_4 = q(S_3 - S_4) \quad (1h)$$

158 It is an advection-dominated box model, with mixed boundary conditions where Haney-style  
 159 restoring for SST (Haney 1971) and surface virtual salt flux (VSF) for SSS are adopted, leading to  
 160 more relaxed temperature variation and freer salinity variation. Eqs. (1e-h) are used in the original 4S  
 161 model in LY22.  $V_i$ ,  $T_i$ , and  $S_i$  are volume, temperature, and salinity, respectively, in each box. Dot  
 162 over variable denotes its temporal derivative.  $q$  stands for AMOC strength.  $F_w$  is surface VSF,  
 163 representing surface freshwater flux in reality.  $T_1^*$  and  $T_2^*$  correspond to the restoring temperatures for  
 164 boxes 1 and 2, respectively. The Newtonian restoring coefficient  $\gamma$  is the reciprocal of the restoring  
 165 timescale for  $T_1$  and  $T_2$ .



166

167 FIG. 1. Schematics of temperature-salinity box models. (a) The 4-box model; (b) the 3-box model reduced  
 168 from the 4-box one. Numbers ①, ②, ③, and ④ denote the ocean boxes. Boxes 1 and 4 stand for the upper and  
 169 deeper tropical ocean boxes, respectively, while boxes 2 and 3 stand for the upper and deeper subpolar ocean  
 170 boxes, respectively.  $D_1$  and  $D_2$  represent the upper and deeper ocean box depths, respectively. Net VSF into  
 171 (out of) the surface tropical (subpolar) ocean box is represented by  $F_w$ .  $T_1^*$  ( $T_2^*$ ) is the restoring temperature of  
 172 the tropical (subpolar) ocean box.  $q$  represents the AMOC.

173

174 By setting the terms on the left-hand side of Eq. (1) to 0, the equilibrium solutions at steady state  
 175 can be written as follows:

$$\overline{T}_1 = T_1^* - \frac{\overline{q}v_2(T_1^* - T_2^*)}{\overline{q}(v_1 + v_2) + v_1v_2\gamma}, \quad \overline{T}_2 = \frac{v_1T_1^* + v_2T_2^* - v_1\overline{T}_1}{v_2} = \overline{T}_3 = \overline{T}_4 \quad (2a)$$

$$\overline{S}_2 = \overline{S}_3 = \overline{S}_4, \quad F_w = \overline{q}(\overline{S}_1 - \overline{S}_2) \quad (2b)$$

178 Variables with overbar denote their equilibrium values. Following LY22, the upper ocean boxes depth  
 179  $D_1$ , deeper ocean boxes depth  $D_2$ , and total depth  $D$  are still 500, 3500, and 4000 m, respectively. Note  
 180 that our hemispheric model only incorporates the AMOC recirculating in the Northern Hemisphere.  
 181 Consequently,  $\overline{q}$  is set to 10 Sv, which is lower than the measured value of 20 Sv (McCarthy et al.  
 182 2015). Straightway,  $T_1^*$  and  $T_2^*$  are considered to be close to the averaged realistic SSTs in the tropical

183 and subpolar regions, set to 25°C and 7°C, respectively. Values for  $\overline{S}_1$ ,  $\overline{S}_2$ ,  $\overline{S}_3$ , and  $\overline{S}_4$  are identical to  
 184 that in LY22, set to 36.0, 33.5, 33.5, and 33.5 *psu*, respectively. Therefore,  $F_w$  is 25.0 *psu* · *Sv*  
 185 following Eq. (2b). The choices of restoring temperatures and equilibrium salinities are based on the  
 186 CESM1 control simulation analyzed in LY22 (Yang et al. 2015).

187 Typically, restoring timescale  $1/\gamma$  is set to 1-2 months for a surface layer of a few tens of meters  
 188 in depth (Marotzke and Willebrand 1991; Weaver and Sarachik 1991; Pierce 1996). Here, we permit  
 189 the surface temperature restoring to happen over the full depth range of boxes 1 and 2; therefore, a  
 190 much longer restoring timescale has to be used. A reasonable range of  $1/\gamma$  should be around one year  
 191 to several years. We set  $\gamma = 3.171 \times 10^{-8} \text{ s}^{-1}$ , corresponding to 1-year restoring timescale.

192 The total AMOC strength  $q$  can be separated into a given equilibrium part  $\overline{q}$  and a calculated  
 193 anomalous part  $q'$ . We consider a linear relation between  $q'$  and thickness-weighted meridional  
 194 difference of density anomaly  $\Delta\rho'$ . Both  $q'$  and  $\Delta\rho'$  can be decomposed into temperature-driven part  
 195 ( $q'_T$ ,  $\Delta\rho'_T$ ) and salinity-driven part ( $q'_S$ ,  $\Delta\rho'_S$ ); therefore, we have:

$$196 \quad q = \overline{q} + q' \quad (3a)$$

$$197 \quad q' = q'_T + q'_S = \lambda\Delta\rho'_T + \lambda\Delta\rho'_S = \lambda\Delta\rho' \quad (3b)$$

198 where

$$199 \quad \Delta\rho'_T = -\rho_0\alpha[\delta(T'_2 - T'_1) + (1 - \delta)(T'_3 - T'_4)] \quad (4a)$$

$$200 \quad \Delta\rho'_S = \rho_0\beta[\delta(S'_2 - S'_1) + (1 - \delta)(S'_3 - S'_4)] \quad (4b)$$

$$201 \quad \delta = \frac{V_1}{V_1+V_4} = \frac{V_2}{V_2+V_3} = \frac{D_1}{D} \quad (4c)$$

202 The sensitivity of  $q'$  to  $\Delta\rho'$  is represented by a linear closure coefficient  $\lambda$ .  $\rho_0$ ,  $\alpha$ , and  $\beta$  are the  
 203 reference density, thermal expansion, and haline contraction coefficients for seawater, respectively.  $T'_i$   
 204 and  $S'_i$  are the temperature and salinity anomalies of box  $i$ , respectively. A summary of the standard  
 205 parameter values is provided in Table 1. These basic model parameters are independent of each other,  
 206 and are the same as those in LY22, except for the temperature related parameters.

207 TABLE 1. Standard values of the parameters used.

Symbol	Physical Significance	Value
$V_2$	Volume of box 2	$2.8 \times 10^{15} \text{ m}^3$



$V_1, V_3, V_4$	Volumes of boxes 1, 3 and 4, respectively	$5V_2, 7V_2, 35V_2$
$D_1, D_2, D$	Thicknesses of the upper, deeper ocean boxes, and the entire ocean boxes	500, 3500, 4000 <i>m</i>
$T_1^*, T_2^*$	Restoring temperatures of boxes 1 and 2	25°C, 7°C
$\gamma$	Restoring coefficient of boxes 1 and 2	$3.171 \times 10^{-8} \text{ s}^{-1}$
$\bar{S}_1, \bar{S}_2, \bar{S}_3, \bar{S}_4$	Equilibrium salinities of boxes 1, 2, 3, and 4	36, 33.5, 33.5, 33.5 <i>psu</i>
$\bar{q}$	Equilibrium strength of AMOC	10 <i>Sv</i> ( $10^6 \text{ m}^3 \text{ s}^{-1}$ )
$\lambda$	Linear closure coefficient	12 <i>Sv</i> · $\text{kg}^{-1} \text{ m}^3$
$\rho_0$	Reference seawater density	$1.00 \times 10^3 \text{ kg m}^{-3}$
$\alpha$	Thermal expansion coefficient	$1.468 \times 10^{-4} \text{ }^\circ\text{C}^{-1}$
$\beta$	Haline contraction coefficient	$7.61 \times 10^{-4} \text{ psu}^{-1}$

208

209 We linearize Eq. (1) as follows:

210 
$$V_1 \dot{T}'_1 = q'(\bar{T}_4 - \bar{T}_1) + \bar{q}(T'_4 - T'_1) - V_1 \gamma T'_1 \quad (5a)$$

211 
$$V_2 \dot{T}'_2 = q'(\bar{T}_1 - \bar{T}_2) + \bar{q}(T'_1 - T'_2) - V_2 \gamma T'_2 \quad (5b)$$

212 
$$V_3 \dot{T}'_3 = \bar{q}(T'_2 - T'_3) \quad (5c)$$

213 
$$V_4 \dot{T}'_4 = \bar{q}(T'_3 - T'_4) \quad (5d)$$

214 
$$V_1 \dot{S}'_1 = q'(\bar{S}_4 - \bar{S}_1) + \bar{q}(S'_4 - S'_1) \quad (5e)$$

215 
$$V_2 \dot{S}'_2 = q'(\bar{S}_1 - \bar{S}_2) + \bar{q}(S'_1 - S'_2) \quad (5f)$$

216 
$$V_3 \dot{S}'_3 = \bar{q}(S'_2 - S'_3) \quad (5g)$$

217 
$$V_4 \dot{S}'_4 = \bar{q}(S'_3 - S'_4) \quad (5h)$$

218 Eqs. (5e-h) are the linearized 4S model of LY22. In LY22, it was assumed that under an  
 219 extremely strong vertical mixing between subpolar boxes 2 and 3, the 4S model can be reduced to a  
 220 3-box salinity-only model (hereafter 3S). Applying the same treatment to the 4TS model, a 3-box  
 221 temperature-salinity model (hereafter 3TS; Fig. 1b) can be obtained. Now, Eqs. (4a-c) become,

222 
$$\Delta \rho'_T = -\rho_0 \alpha [T'_2 - \delta T'_1 - (1 - \delta) T'_4] \quad (6a)$$

$$\Delta\rho'_S = \rho_0\beta[S'_2 - \delta S'_1 - (1 - \delta)S'_4] \quad (6b)$$

$$\delta = \frac{V_1}{V_1+V_4} = \frac{D_1}{D} \quad (6c)$$

and Eqs. (5a-h) are reduced to:

$$V_1\dot{T}'_1 = q'(\bar{T}_4 - \bar{T}_1) + \bar{q}(T'_4 - T'_1) - V_1\gamma T'_1 \quad (7a)$$

$$V_2\dot{T}'_2 = q'(\bar{T}_1 - \bar{T}_2) + \bar{q}(T'_1 - T'_2) - V_2\gamma T'_2 \quad (7b)$$

$$V_4\dot{T}'_4 = \bar{q}(T'_2 - T'_4) \quad (7c)$$

$$V_1\dot{S}'_1 = q'(\bar{S}_4 - \bar{S}_1) + \bar{q}(S'_4 - S'_1) \quad (7d)$$

$$V_2\dot{S}'_2 = q'(\bar{S}_1 - \bar{S}_2) + \bar{q}(S'_1 - S'_2) \quad (7e)$$

$$V_4\dot{S}'_4 = \bar{q}(S'_2 - S'_4) \quad (7f)$$

Here, boxes 2 and 3 in the 4-box models are well mixed to become a new box 2 in the 3-box models, and  $V_2$  in the 3-box models equals to the sum of  $V_2$  and  $V_3$  in the 4-box models. For consistency, other background state parameters in Table 1 are identical in the 3-box and 4-box models.

Unless otherwise mentioned, results shown in this paper are obtained from forward numerical integration of Eq. (5) (the 4TS model) with the standard parameters listed in Table 1. The fourth-order Runge-Kutta method is used to solve Eq. (5), with  $S'_1(t = 0) = -0.02$  psu at the first time step, and  $S' = T' = 0$  thereafter. The integration time step is 7.2 days, and the total integration length exceeds 10000 years, but only the initial parts are shown. Annual mean data is used for analysis.

240

#### 241 *b. Stability analysis*

Let us first examine the eigenvalues of the 4TS model. Table 2 lists the eight eigenvalues of Eq. (5) under the parameters in Table 1. The eigenvalues in the 4S model of LY22 under the same parameters are also listed in Table 2 for comparison.

245 TABLE 2. Eigenvalues ( $10^{-10} \text{ s}^{-1}$ ) for the 4TS and 4S models under the parameters of Table 1.

4TS	4S	Physical Significance
$-0.55 \pm 6.59i$	$0.31 \pm 5.83i$	Oscillatory mode

0	0	Zero mode
-366	—	Damped mode
-324	—	Damped mode
-37.4	-37.4	Damped mode
-5.28	—	Damped mode
-0.78	—	Damped mode

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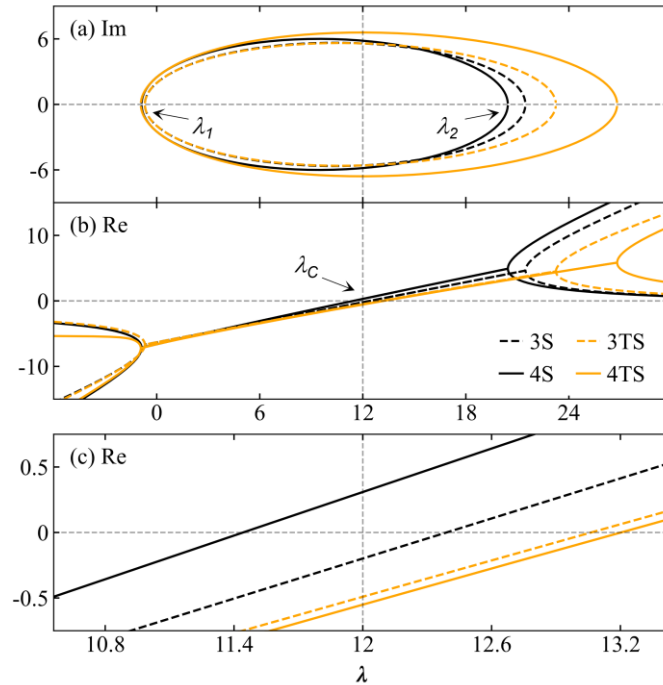
247 There is still a pair of conjugate eigenvalues ( $-0.55 \pm 6.59i$ ) in the 4TS model. The weakly  
 248 unstable oscillatory mode ( $0.31 \pm 5.83i$ ) in the 4S model becomes a weakly damped oscillatory mode  
 249 in the 4TS model; that is, the e-folding time changes from 1025 years for growing oscillation in the  
 250 4S model to 576 years for decaying oscillation in the 4TS model, and the period is shortened from  
 251 340 years to 300 years. This seems to suggest that the thermal processes have a stabilizing effect on  
 252 the system, and shorten the oscillation period slightly. The zero mode (eigenvalue 0) represents the  
 253 climatological mean state. The other five eigenvalues in the 4TS model represent five purely damped  
 254 modes, which are not of our concern here.

255 The stability of the box model system is strongly dependent on the linear closure parameter  $\lambda$ ,  
 256 i.e., the sensitivity of AMOC anomaly to the meridional difference of density anomaly as formulated  
 257 in Eq. (3b). The critical role of  $\lambda$  and its physical explanation can be found in LY22. In this paper, we  
 258 simply solve Eqs. (5) and (7) numerically to investigate how  $\lambda$  affects the stabilities and periods of the  
 259 4TS and 3TS models.

260 Figure 2 shows dependences of real and imaginary parts of eigenvalue  $\omega$  on  $\lambda$ . The results from  
 261 the 4S and 3S models in LY22 are also plotted in Fig. 2 for comparison. The intersections between  
 262 line  $Re(\omega) = 0/Im(\omega) = 0$  and the stability diagram of each model,  $(\lambda_C, 0)$  corresponds to the  
 263 stability threshold (Fig. 2b),  $(\lambda_1, 0)$  and  $(\lambda_2, 0)$ , to the lower and upper limits for the existence of the  
 264 imaginary part (Fig. 2a), respectively. Their values are listed in Table 3. When  $\lambda \geq \lambda_2$  or  $\lambda \leq \lambda_1$ , only  
 265 purely growing or damped modes without oscillatory potential exist, suggested by the corresponding  
 266 positive or negative real part (Fig. 2b). When  $\lambda_1 < \lambda < \lambda_2$ , the system exhibits oscillatory behavior  
 267 because of the presence of the imaginary part (Fig. 2a). With the increase of  $\lambda$ , the models have the

268 tendency to change from a damped to a growing oscillation. In comparison with the 4S and 3S models  
 269 of LY22, permitting the temperature variation in the system has at least three consequences:

- 270 (a) An increase of oscillation frequency, evidenced by the larger imaginary parts in the 4TS and 3TS  
 271 models (Fig. 2a, orange curves) than in the 4S and 3S models (Fig. 2a, black curves).
- 272 (b) An overall stabilization of the system, evidenced by the larger  $\lambda_c$  in the 4TS and 3TS models than  
 273 in the 4S and 3S models listed in Table 3, and the smaller real parts in the 4TS and 3TS models  
 274 (Fig. 2c, orange lines) than in the 4S and 3S models (Fig. 2c, black lines).
- 275 (c) Stabilization of subpolar stratification, evidenced by the larger  $\lambda_c$  in the 4TS model than in the  
 276 3TS model, but smaller  $\lambda_c$  in the 4S model than in the 3S model.



277

278 FIG. 2. Dependences of (a) imaginary parts and (b) real parts of eigenvalue  $\omega$  on  $\lambda$  in the 4TS (solid orange  
 279 curve), 3TS (dashed orange curve), 4S (solid black curve), and 3S (dashed black curve) models. (c) is the  
 280 zoomed-in version of (b) near line  $Re(\omega) = 0$ . Results of the 4S and 3S models are from LY22. The units of  
 281 the ordinate are  $10^{-10} \text{ s}^{-1}$ . The values of the other parameters are the same as those listed in Table 1. The vertical  
 282 dashed line denotes the situation under the standard value  $\lambda = 12 \text{ Sv} \cdot \text{kg}^{-1} \text{m}^3$ .

283

284

TABLE 3. Values for  $\lambda_c$ ,  $\lambda_1$ , and  $\lambda_2$  (units:  $\text{Sv} \cdot \text{kg}^{-1} \text{m}^3$ ) in different box models.

	4TS	3TS	4S	3S
$\lambda_C$	13.20	13.06	11.44	12.39
$\lambda_1, \lambda_2$	-0.92, 26.80	-0.70, 23.24	-0.89, 20.44	-0.69, 21.46

285

286 The stability analyses provide us mathematical fundamentals, showing how the behaviors of the  
 287 system change when the thermal processes are included. Physical insight into why the system  
 288 behaviors change will be deliberated next.

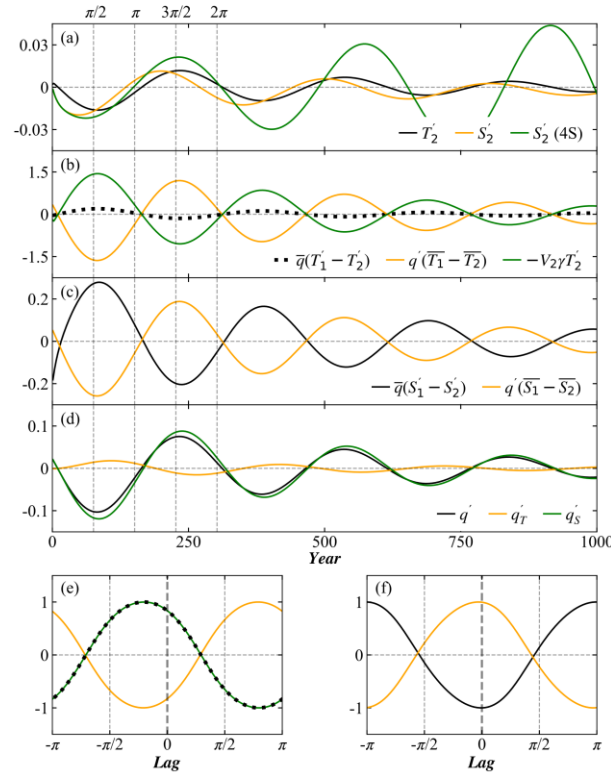
289

### 290 3. Effects of temperature equations

#### 291 a. Temperature feedbacks

292 By permitting temperature variation, two feedbacks between thermal processes and AMOC are  
 293 introduced in the 4-box model: the negative temperature advection feedback and the positive restoring  
 294 advection feedback. Numerical results reveal that the former overcomes the latter, evidenced by the  
 295 transition from a growing oscillation (Fig. 3a, green curve) into a damped oscillation (Fig. 3a, black  
 296 and orange curves). Let us illustrate these two feedbacks using box 2 (Fig. 3b). Starting with a  
 297 positive perturbation  $q'$ , the anomalous advection  $q'(\overline{T}_1 - \overline{T}_2)$  transports more warm water  
 298 northward;  $T'_2$  is increased and thus  $\Delta\rho'_T$  is lowered, causing a final decrease in  $q'_T$ . This is the  
 299 negative temperature advection feedback, which can be physically and mathematically derived from  
 300 Eqs. (5b) and (6a), and can be further illustrated by the lead/lag correlation between  $q'(\overline{T}_1 - \overline{T}_2)$  and  
 301  $q'_T$ : they have a negative correlation coefficient at lag 0 (Fig. 3e, orange curve). The correlation  
 302 coefficient at lag 0 cannot be treated as a verification of causality between AMOC and terms on the  
 303 right-hand side of Eq. (5). However, it provides an intuitional representation of the positive/negative  
 304 feedback after the physical interpretation of model equations is given. The increased  $T'_2$  caused by the  
 305 initial positive perturbation in  $q'$  also triggers relaxation via anomalous restoring  $-V_2\gamma T'_2$ , whose  
 306 strength is proportional to the restoring coefficient  $\gamma$ . This limits the growth of positive  $T'_2$  itself,  
 307 limiting the decreases of  $\Delta\rho'_T$  and  $q'_T$ . Consequently, the net effect of the restoring advection feedback  
 308 itself is to increase  $q'$ , demonstrating it is a positive feedback. The positive restoring advection  
 309 feedback is further illustrated in Fig. 3e (green curve):  $-V_2\gamma T'_2$  has a positive correlation coefficient  
 310 with  $q'_T$  at lag 0. Note that the restoring advection feedback is driven by the temperature advection

311 feedback and only partly hampers the latter; thus, the net effect of temperature feedbacks is a negative  
 312 feedback. There is another feedback coming from  $\bar{q}'(T'_1 - T'_2)$  of Eq. (5b), which is positive (Fig. 3e,  
 313 dotted black curve), but rather weak (Fig. 3b, dotted black curve). The negative temperature  
 314 advection feedback and the positive restoring advection feedback are both local feedbacks, since they  
 315 are free of anomalies transported from one box to another.



316

317 FIG. 3. (a) Damped and growing oscillations in the 4TS and 4S models under the standard parameters in Table  
 318 1. Black, orange, and green curves are the time series of  $T'_2$  (units:  $^{\circ}\text{C}$ ),  $S'_2$  (units:  $psu$ ) in the 4TS model, and  
 319  $S'_2$  in the 4S model, respectively. (b) Time series for temperature terms (units:  $Sv \cdot ^{\circ}\text{C}$ ) on the right-hand side of  
 320 Eq. (5b); (c) time series for salinity terms (units:  $Sv \cdot psu$ ) on the right-hand side of Eq. (5f); and (d) time  
 321 series for  $q'$ ,  $q'_T$ , and  $q'_S$  (units:  $Sv$ ) in the 4TS model. The vertical dashed lines in (a)-(d) mark the locations of  
 322  $\pi/2, \pi, 3\pi/2,$  and  $2\pi$  of the period (300 years) in the 4TS model. (e) Lead/lag correlation coefficients between  
 323  $q'_T$  and  $\bar{q}'(T'_1 - T'_2)$  (dotted black curve),  $q'(\bar{T}_1 - \bar{T}_2)$  (solid orange curve), and  $-V_2\gamma T'_2$  (solid green curve) in  
 324 the 4TS model. (f) Lead/lag correlation coefficients between  $q'_S$  and  $\bar{q}'(S'_1 - S'_2)$  (solid black curve), and  
 325 between  $q'_S$  and  $q'(\bar{S}_1 - \bar{S}_2)$  (solid orange curve) in the 4TS model. In (e)-(f), negative lag represents  $q'$  lags  
 326 the other term.

327

328 The salinity advection feedback in the 4TS model is nearly identical to that in the 4S model of  
 329 LY22. The positive and negative feedbacks come from terms  $q'(\bar{S}_1 - \bar{S}_2)$  and  $\bar{q}'(S'_1 - S'_2)$  (Figs. 3c,  
 330 f), respectively. Note that  $q'$  is the sum of salinity-induced  $q'_S$  and temperature-induced  $q'_T$ . These two

331 components are roughly out of phase, with the former being much bigger than the latter (Fig. 3d); it  
 332 suggests that the salinity advection feedback has more remarkable effect on the AMOC than the  
 333 temperature advection feedback does. However, the phase of  $q'$  is no longer identical to that of  
 334 salinity-induced  $q'_S$ , and the variation of  $q'$  is obviously offset by temperature-induced  $q'_T$  (Fig. 3d),  
 335 indicating that the behavior of the system is affected by the thermal processes.

336

### 337 *b. Role of restoring advection feedback*

338 The restoring advection feedback in the temperature equations can significantly affect the  
 339 system's behavior. To understand this better, let us first examine how the restoring timescale affects  
 340 the temperature advection feedback. Based on Eq. (2a), we have,

$$341 \quad \overline{T}_1 - \overline{T}_2 = (T_1^* - T_2^*) / \left[ \frac{\overline{q}(V_1 + V_2)}{V_1 V_2 \gamma} + 1 \right] \quad (8)$$

342 This depicts a larger  $\gamma$  (or a shorter restoring timescale) causes a larger  $\overline{T}_1 - \overline{T}_2$ , thus stronger  
 343 anomalous advection of meridional difference of mean temperature  $q'(\overline{T}_1 - \overline{T}_2)$ . However, the  
 344 negative temperature advection feedback is realized through an increase in  $T'_2$ , which is in turn limited  
 345 by the stronger  $-V_2 \gamma T'_2$ ; so the restoring advection feedback tends to always offset the temperature  
 346 advection feedback to a certain degree (Fig. 3b), regardless of the restoring strength.

347 TABLE 4. Conjugate eigenmodes in the 4TS model under different  $\gamma$ .

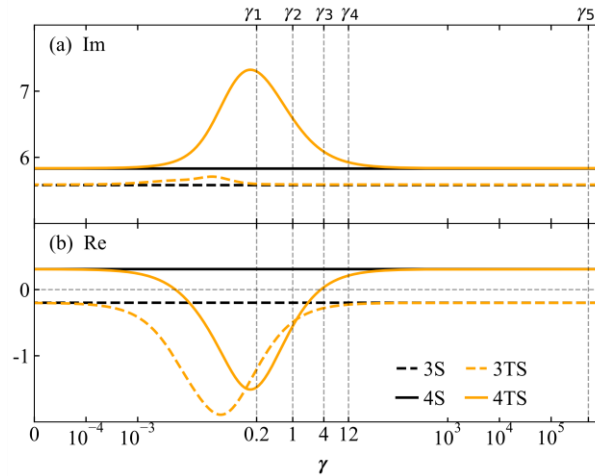
$\gamma$	Eigenvalue ( $10^{-10} \text{ s}^{-1}$ )	E-folding Time (Years)	Period (Years)
$\gamma_0 = 0$	$0.31 \pm 5.83i$	1025	341
$\gamma_1 = (5 \text{ year})^{-1}$	$-1.48 \pm 7.30i$	-215	273
$\gamma_2 = (1 \text{ year})^{-1}$	$-0.55 \pm 6.59i$	-576	302
$\gamma_3 = (0.25 \text{ year})^{-1}$	$0.036 \pm 6.09i$	8830	327
$\gamma_4 = (1 \text{ month})^{-1}$	$0.21 \pm 5.92i$	1492	336
$\gamma_5 = (1 \text{ minute})^{-1}$	$0.31 \pm 5.83i$	1025	341

348

349 There are two extreme situations. When  $\gamma \rightarrow 0$  or  $\gamma \rightarrow \infty$ , that is, when the restoring timescale  
 350 for SST goes to either infinity or zero, the oscillatory eigenmode ( $0.31 \pm 5.83i$ ) in the 4TS model  
 351 (Table 4) is identical to that in the 4S model (Table 1). Under these two situations, the thermal  
 352 processes have no effect on AMOC variation, and the 4TS model is practically reduced to the 4S  
 353 model. In the situation with  $\gamma \rightarrow 0$ , Eqs. (2a) and (8) give us  $\overline{T}_1 = \overline{T}_2 = \overline{T}_3 = \overline{T}_4$ . There is no restoring  
 354 advection feedback, and the temperature advection feedback becomes null ( $q'(\overline{T}_1 - \overline{T}_2) = 0$ ),  
 355 prohibiting any temperature variation in the system. Therefore, the linearized temperature equations  
 356 (Eqs. 5a-d) are identical to the linearized salinity equations (Eqs. 5e-h), and the combined temperature  
 357 and salinity equations are equivalent to the salinity equations in the 4S model. Case  $\gamma \rightarrow 0$  suggests  
 358 that the system can only be affected by temperature equations when the restoring advection feedback  
 359 is active. In the situation with  $\gamma \rightarrow \infty$ , we have  $\overline{T}_1 = T_1^*$  and  $\overline{T}_2 = T_2^*$  based on Eq. (2a). The  
 360 extremely strong restoring kills any temperature perturbation immediately, which makes  $T'_1 = T'_2 = 0$   
 361 (thus  $T'_3 = T'_4 = 0$ ), and the 4TS system is equivalent to a system without active thermal process; so  
 362 only the saline processes matter to the system behavior. In summary, under these two extreme  
 363 situations, the results from linear stability analysis suggest the variations of salinity and AMOC are  
 364 identical to those of the 4S model in LY22; as a result, the corresponding figures (similar to Figs. 2-3  
 365 but with  $\gamma \rightarrow 0$  or  $\gamma \rightarrow \infty$ ) are not shown.

366 Figure 4 shows dependences of imaginary and real parts of the oscillatory mode on  $\gamma$  in the 3TS  
 367 and 4TS models (orange curves). The 3TS and 4TS models exhibit a damped oscillation only when  $\gamma$   
 368 is neither too small nor large (Fig. 4b, orange curves), namely, when the temperature effects are  
 369 strong. Compared with the 4S model (Fig. 4b, solid black line), the 4TS model is generally more  
 370 stable, manifested by the negative or longer positive e-folding time. Even for a very short SST  
 371 restoring timescale (one to several months) (Table 4), the positive e-folding time of the oscillatory  
 372 mode in the 4TS model is still much longer than that in the 4S model. This is because for any given  $\gamma$   
 373 and  $\lambda$ , temperature-induced  $q'_T$  is always opposite to salinity-induced  $q'_S$ , so that the total  $q'$  is always  
 374 smaller; in other words, the AMOC sensitivity to buoyancy perturbation is always weaker in the 4TS  
 375 model than in the 4S model. In addition, including the fast thermal restoring process leads to a shorter  
 376 oscillation period in the 4TS model than in the 4S model (Fig. 4a), because the superimposition of a  
 377 quick timescale and a slow timescale leads to a timescale in between. Since a reasonable restoring  
 378 timescale is always much shorter than the multicentennial timescale, we can practically neglect the  
 379 effect of restoring timescale on the oscillation period of the system.



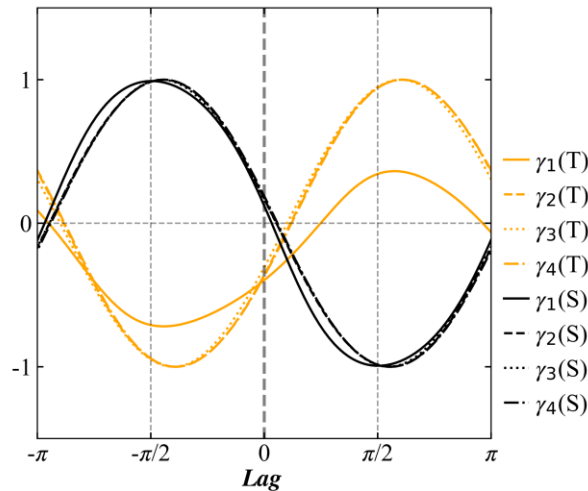


380

381 FIG. 4. Dependences of (a) positive imaginary parts and (b) real parts of the oscillatory mode on  $\gamma$  (units: year<sup>-1</sup>)  
 382 <sup>1</sup>) in the 4TS model (solid orange curve) and the 3TS model (dashed orange curve). The units of the ordinate  
 383 are  $10^{-10} \text{ s}^{-1}$ . The vertical dashed lines from left to right denote the situations under  $\gamma_1, \gamma_2, \gamma_3, \gamma_4,$   
 384 respectively. The reference oscillatory modes in the 4S and 3S models are plotted as the solid and dashed black  
 385 lines, respectively, which are independent of  $\gamma$ . Here,  $\lambda$  is set to  $12 \text{ Sv} \cdot \text{kg}^{-1} \text{ m}^3$ . The values of the other  
 386 parameters are the same as those listed in Table 1.

387

388 The restoring timescale also affects the relative stability of the 4TS and 3TS models. As shown  
 389 in Fig. 1, under extremely strong vertical mixing in the subpolar ocean, the 4-box model (Fig. 1a) can  
 390 be reduced to a 3-box model (Fig. 1b). LY22 showed that the 3S model is always more stable than the  
 391 4S model. Here, we find that including the thermal processes causes no obvious change of stability  
 392 from the 4TS to 3TS model (Fig. 4b). To better understand the stability change, we should first  
 393 recognize that whether the temperature and salinity anomalies stay in the subpolar upper or deeper  
 394 ocean does not influence the meridional difference of density anomaly due to the vertically weighted  
 395 volume-averaged treatment. However, the time consumed in transporting temperature and salinity  
 396 anomalies from the upper to deeper ocean boxes is omitted; consequently, they are removed faster  
 397 from the subpolar region in the 3-box model, which reduces their restraining and amplification effects  
 398 on  $q'_T$  and  $q'_S$ , respectively. Therefore, the removals of temperature and salinity related subpolar  
 399 stratification in the 3TS model have destabilizing and stabilizing effects, respectively, on the  
 400 oscillation of the system.



401

402 FIG. 5. Lead/lag correlation coefficients between  $T'_2 - T'_3$  and  $q'_T$  (orange curves) and between  $S'_2 - S'_3$  and  $q'_S$   
 403 (black curves) in the 4TS model under different  $\gamma$ . Negative lag represents  $q'$  lags the other terms. Here,  $\lambda$  is set  
 404 to  $12 Sv \cdot kg^{-1} m^3$ . The values of the other parameters are the same as those listed in Table 1.  
 405

406 In the 4TS model, the subpolar temperature (salinity) stratification  $T'_2 - T'_3$  ( $S'_2 - S'_3$ ) has  
 407 negative (positive) correlation with  $q'_T$  ( $q'_S$ ) at lag 0 (Fig. 5). These correlations do not rely on the  
 408 temperature restoring coefficient  $\gamma$ . This further confirms that the existences of subpolar temperature-  
 409 and salinity-related stratification have stabilizing and destabilizing effects, respectively, on the  
 410 system. Whether the total subpolar buoyancy stratification plays a stabilizing or destabilizing role,  
 411 however, depends on  $\gamma$ . When  $\gamma$  lies in the range of about several years (from  $\gamma_1$  to  $\gamma_2$ ; Fig. 4b), the  
 412 total subpolar buoyancy stratification plays a stabilizing role since the stabilizing effect of  
 413 temperature stratification overcomes the destabilizing effect of salinity stratification. When  $\gamma$  is too  
 414 small or too large, the temperature effect becomes weaker, while the salinity effect is not influenced.  
 415 Hence, the stabilization from temperature stratification no longer overcomes the destabilization from  
 416 salinity stratification, making the 3TS model more stable than the 4TS model. The 4S model can be  
 417 qualitatively interpreted as the case with weak temperature effects in this study, that is, including  
 418 extreme mixing in the subpolar ocean can stabilize the system. We conclude that under realistic range  
 419 of  $\gamma$ , the 4TS model can be more stable than the 3TS model, due to stronger stabilization effect of  
 420 subpolar temperature stratification than the destabilization effect of subpolar salinity stratification.

421

#### 422 4. Realization of self-sustained oscillation

423 Self-sustained oscillation is still absent in the 4TS model. Under the same parameters, the 4TS  
 424 model is more stable than the 4S model in LY22 (Fig. 2c), as discussed in section 3. However, this  
 425 does not lead to a self-sustained oscillation in the 4TS model. Clearly, additional processes are  
 426 needed. In LY22, an enhanced vertical mixing process is added explicitly in the subpolar ocean, to  
 427 realize a self-sustained oscillation. To ensure that the system becomes unstable, we choose  $\lambda =$   
 428  $14 Sv \cdot kg^{-1} m^3$  for the 4TS model from now on, and the subpolar vertical mixing is still  
 429 destabilizing as a whole (Fig. 2b), in contrast to the purely stabilizing vertical salinity mixing in  
 430 LY22. Will a self-sustained oscillation be realized with the destabilizing subpolar vertical mixing  
 431 now? If so, what is the exact role of such vertical mixing in establishing the self-sustained oscillation?

432

433 *a. Self-sustained oscillation with enhanced subpolar mixing*

434 Similar to LY22, we introduce an enhanced mixing term between boxes 2 and 3 in the 4TS  
 435 model. Eqs. (5b-c) and (5f-g) become,

$$436 \quad V_2 \dot{T}'_2 = q'(\overline{T}_1 - \overline{T}_2) + \overline{q}(T'_1 - T'_2) - k_m(T'_2 - T'_3) - V_2 \gamma T'_2 \quad (9a)$$

$$437 \quad V_3 \dot{T}'_3 = \overline{q}(T'_2 - T'_3) + k_m(T'_2 - T'_3) \quad (9b)$$

$$438 \quad V_2 \dot{S}'_2 = q'(\overline{S}_1 - \overline{S}_2) + \overline{q}(S'_1 - S'_2) - k_m(S'_2 - S'_3) \quad (9c)$$

$$439 \quad V_3 \dot{S}'_3 = \overline{q}(S'_2 - S'_3) + k_m(S'_2 - S'_3) \quad (9d)$$

440 The mixing coefficient  $k_m$  (units:  $m^3/s$ ) is represented by:

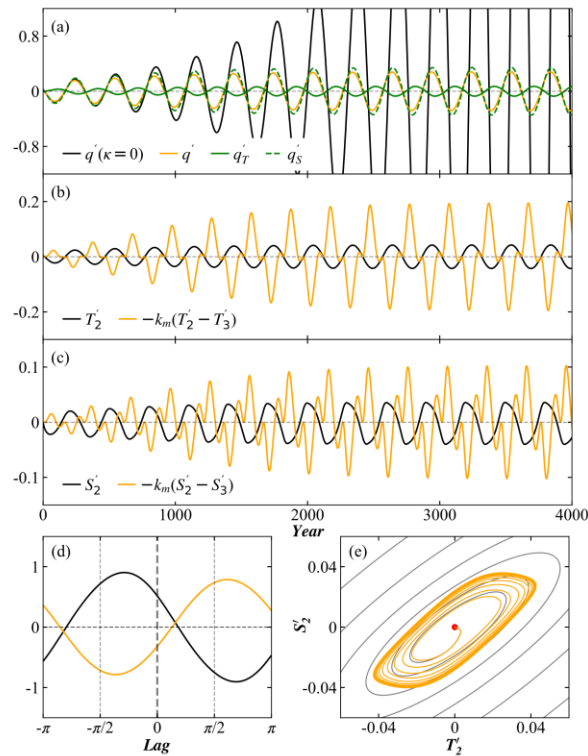
$$441 \quad k_m = \kappa q'^2 \quad (9e)$$

442 where  $\kappa$  (units:  $m^{-3}s$ ) is a positive constant. We set  $\kappa$  to  $1 \times 10^{-4} m^{-3}s$  in this paper. No matter the  
 443 sign of  $q'$ ,  $k_m$  is always positive and helps remove subpolar upper-ocean anomalies. Detailed physics  
 444 of the enhanced mixing process was discussed in LY22. If this mixing is strong enough, the 4TS  
 445 model is virtually equivalent to the 3TS one.

446 A growing oscillation (Fig. 6a, solid black curve) is turned into a self-sustained oscillation (Fig.  
 447 6a, solid orange curve) when enhanced subpolar mixing is included, which can be seen clearly in the  
 448 phase diagram of  $T'_2$  vs  $S'_2$  (Fig. 6e, orange curve); that is, a limit cycle is formed eventually. With  $\lambda =$   
 449  $14 Sv \cdot kg^{-1} m^3$ , the intrinsic mode of the 4TS model is unstable. As  $q'$  grows (decreases), more

450 warm and saline (cold and fresh) water is removed from the subpolar upper ocean into the deeper  
451 ocean via anomalous mixing of  $-k_m(T'_2 - T'_3)$  and  $-k_m(S'_2 - S'_3)$  (Figs. 6b, c, solid orange curves);  
452 thus, the anomalies exit the subpolar region more quickly. This vertical mixing has two effects. The  
453 first one is, from a nonlinear restraining view, to prevent the runaway tendency of subpolar  
454 temperature and salinity anomalies by pulling them back to a relatively confined range (Fig. 6e,  
455 orange curve); therefore, the drastic variation of  $q'$  is inhibited. The second one is, from a linear  
456 stability view, the mixing of temperature (salinity) destabilizes (stabilizes) the system, which is  
457 illustrated by the correlation coefficients at lag 0 between the mixing terms and AMOC (Fig. 6d).  
458 Permitting only salinity variation in LY22, it is natural to have an impression that it is the linearly  
459 stabilizing effect of the subpolar salinity mixing that turns the growing oscillation into a self-sustained  
460 one. With the presence of temperature variation and thus overall destabilizing subpolar vertical  
461 mixing, we propose that the realization of self-sustained oscillation is not controlled by subpolar  
462 mixing, whether it is stabilizing or not, but depends on the nonlinear restraining effect of such mixing.  
463 Note that the 3-box models are fully linear systems; thus, no self-sustained oscillation can be realized,  
464 no matter how stabilizing the subpolar vertical mixing is. The  $\kappa$  chosen here is one order smaller than  
465 that used in LY22, suggesting that even weak subpolar vertical mixing can turn a growing oscillation  
466 into a self-sustained one. This triggers another underlying problem: is vertical mixing the only media  
467 to transform a growing oscillation into a self-sustained one?

468



469

470 FIG. 6. Oscillations under  $\lambda = 14 \text{ Sv} \cdot \text{kg}^{-1} \text{ m}^3$ . (a) Time series for  $q'$  (solid black curve) under  $\kappa = 0$ ,  $q'$  (solid  
 471 orange curve),  $q_T'$  (solid green curve), and  $q_S'$  (dashed green curve) under  $\kappa = 1 \times 10^{-4} \text{ m}^{-3} \text{ s}$  (units:  $\text{Sv}$ ). (b)  
 472 Time series for  $T_2'$  (solid black curve; units:  $^{\circ}\text{C}$ ) and  $-k_m(T_2' - T_3')$  (solid orange curve; units:  $\text{Sv} \cdot ^{\circ}\text{C}$ ). (c) Time  
 473 series for  $S_2'$  (solid black curve; units:  $\text{psu}$ ) and  $-k_m(S_2' - S_3')$  (solid orange curve; units:  $\text{Sv} \cdot \text{psu}$ ). (d)  
 474 Lead/lag correlation coefficients for  $-k_m(T_2' - T_3')$  and  $q_T'$  (solid black curve), and for  $-k_m(S_2' - S_3')$   
 475 and  $q_S'$  (solid orange curve). (e)  $T_2'$ - $S_2'$  phase space diagram for years 1-10000. The red dot represents the initial location  
 476 of  $T_2'$  and  $S_2'$ . Black curve is for  $\kappa = 0$ , and orange curve, for  $\kappa = 1 \times 10^{-4} \text{ m}^{-3} \text{ s}$ . The values of the other  
 477 parameters are the same as those listed in Table 1.

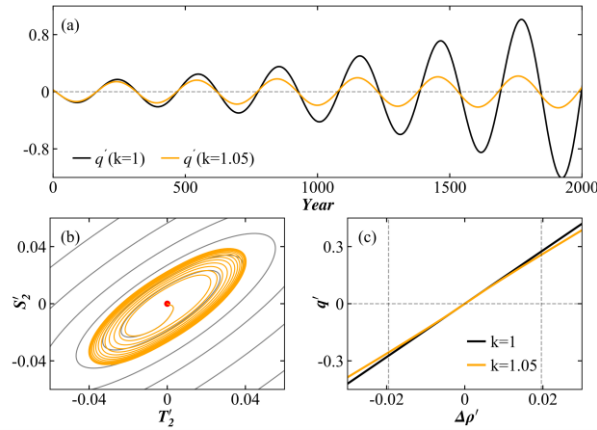
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479 *b. Self-sustained oscillation with nonlinear relation between AMOC anomaly and meridional*  
 480 *difference of density anomaly*

481 A prominent feature of the subpolar vertical mixing is its unique nonlinearity in such a linear  
 482 system. A nonlinear relation between AMOC anomaly and the meridional difference of density  
 483 anomaly was adopted in Cessi (1994) and in RT97. Here, we replace the linear relation with a  
 484 nonlinear one as the substitute nonlinearity for the subpolar vertical mixing introduced in section 4a.  
 485 To this end, we set Eq. (3b) to,

$$q' = \begin{cases} \lambda \rho_{cri} \left[ k \left( \left[ \frac{\Delta \rho'}{\rho_{cri}} \right]^{\frac{1}{k}} - 1 \right) + 1 \right], & \text{if } \Delta \rho' > \rho_{cri} \\ \lambda \Delta \rho' & \text{if } -\rho_{cri} < \Delta \rho' < \rho_{cri} \\ -\lambda \rho_{cri} \left[ k \left( \left[ -\frac{\Delta \rho'}{\rho_{cri}} \right]^{\frac{1}{k}} - 1 \right) + 1 \right], & \text{if } \Delta \rho' < -\rho_{cri} \end{cases} \quad (10)$$

487 It takes the form of Eq. (3) of RT97. Note that there is no enhanced vertical mixing in the system at  
 488 present. At  $k = 1$ , Eq. (10) is reduced to the linear Eq. (3b); and the system exhibits growing but  
 489 purely linear oscillation under  $\lambda = 14 \text{ Sv} \cdot \text{kg}^{-1} \text{ m}^3$  (Figs. 6a, 7a, black curves). When  $k$  is larger  
 490 than 1, a nonlinear restraining effect will be introduced when  $\Delta \rho'$  becomes larger (lower) than a given  
 491 threshold  $\rho_{cri}$  ( $-\rho_{cri}$ ), making  $q'$  increasingly insensitive to  $\Delta \rho'$  as  $\Delta \rho'$  grows (decreases). For  
 492 example, if  $k = 1.05$  with  $\rho_{cri} = 0.002 \text{ kg/m}^3$ , a small degree of nonlinearity (Fig. 7c, orange  
 493 curve) will be introduced into the linear system. The self-sustained oscillation is then realized (Fig.  
 494 7a, orange curve), and a limit cycle is achieved (Fig. 7b, orange curve). The intersections between the  
 495 vertical dashed lines and the abscissa axis in Fig. 7c mark the upper and lower limits for  $\Delta \rho'$  during  
 496 the integration. The restraining effect manifested as the difference between the black and orange  
 497 curves is very small (Fig. 7c). Hence, even a tiny internal nonlinearity between AMOC anomaly and  
 498 the meridional difference of density anomaly can lead to a self-sustained oscillation, reflecting that  
 499 the self-sustained oscillation mechanism in the 4TS model does not have to be bounded together with  
 500 subpolar vertical mixing. Even in the 3TS model under the same parameters, including this nonlinear  
 501 relation also led to a self-sustained oscillation (figure not shown). Here, at the heart of the transition  
 502 from a linearly growing oscillation into a nonlinear self-sustained one, the nonlinear restraining term  
 503 matters, which can take the form as subpolar vertical mixing, or a nonlinear relation between AMOC  
 504 anomaly and meridional difference of density anomaly, or even in a form of other nonlinear  
 505 processes. The self-sustained AMOC oscillation mechanism can be concluded as a combination of a  
 506 linearly growing oscillation dominated by linear advection and a nonlinear restraining effect. The  
 507 self-sustained oscillation mechanism in LY22 becomes more explicit, and is shown to be not only  
 508 consistent with but also advanced by this current study.



509

510 FIG. 7. Oscillations under  $\lambda = 14 \text{ Sv} \cdot \text{kg}^{-1} \text{ m}^3$ . (a) Time series for  $q'$  (units:  $\text{Sv}$ ) under  $k = 1$  (black curve)  
 511 and  $k = 1.05$  (orange curve). (b)  $T_2' - S_2'$  phase space diagram for years 1-10000. The red dot represents the  
 512 initial location of  $T_2'$  and  $S_2'$ . Black curve is for  $k = 1$ , and orange curve, for  $k = 1.05$ . (c) Variation of  $q'$  with  
 513  $\Delta\rho'$  (units:  $\text{kg}/\text{m}^3$ ) under  $k = 1$  (black curve) and  $k = 1.05$  (orange curve). The intersections between the  
 514 vertical dashed lines and the abscissa axis mark the upper and lower limits for  $\Delta\rho'$  during the integration. The  
 515 values of the other parameters are the same as those listed in Table 1.

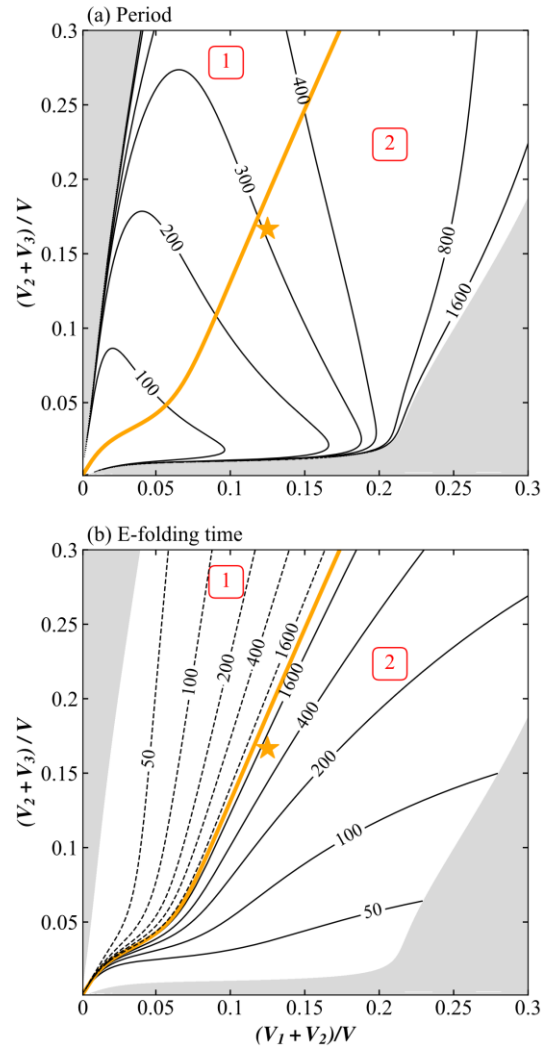
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## 517 5. Eigenmode sensitivity

### 518 a. Effects of basin geometry

519 Basin geometry can affect both the period and e-folding time of the 4TS model's eigenmode  
 520 (Fig. 8). The standard geometry is  $(V_1 + V_2)/V = 1/8$  and  $(V_2 + V_3)/V = 1/6$  in this paper, denoted  
 521 by the orange star in Fig. 8. The gray areas in Fig. 8 denote purely damped or growing regions  
 522 without oscillatory potential. The oscillatory mode is damped in region 1 because of negative real  
 523 part; and it is growing in region 2 due to positive real part (Fig. 8b). The orange curve partitions  
 524 regions 1 and 2, making itself also the lower limit for a possible self-sustained oscillation. The eigen  
 525 period increases roughly monotonously with the increases of both the subpolar ocean fraction  $(V_2 +$   
 526  $V_3)/V$  and the upper ocean fraction  $(V_1 + V_2)/V$  (Fig. 8a), while the stability of the eigenmode  
 527 increases with a decrease in  $(V_1 + V_2)/V$  and an increase in  $(V_2 + V_3)/V$  (Fig. 8b). This suggests that  
 528 it is possible for different periods and stabilities of the eigenmode to be found. Different  
 529 considerations for upper and northern box volumes are possible, potentially leading to diversified  
 530 periods and stabilities. In GT95, the fractions of the upper and subpolar ocean boxes are both  $1/11$ ,  
 531 falling in the lower left corner of Fig. 8, with a period shorter than 200 years if  $\bar{q}$  is set to  $10 \text{ Sv}$ .  
 532 Actually,  $\bar{q}$  in GT95 was set to a larger value of about  $17 \text{ Sv}$ , representing a much faster overturning

533 rate; thus, the period is further shortened to the century timescale. Consequently, it is reasonable to  
 534 deduce that the multidecadal period in GT95 is not at odds with the multicentennial period here.  
 535 Popularity of studying multidecadal phenomena back then might account for their choice of model  
 536 parameters.



537

538 FIG. 8. Sensitivity of (a) period (units: years) and (b) e-folding time (units: years) of the eigenmode in the 4TS  
 539 model to subpolar ocean fraction  $(V_2 + V_3)/V$  and upper ocean fraction  $(V_1 + V_2)/V$  under  $\lambda = 14 Sv \cdot$   
 540  $kg^{-1} m^3$ . The values of the other parameters are the same as those listed in Table 1. The orange star denotes  
 541 the mode with standard basin geometry  $(V_1 + V_2)/V = 1/8$  and  $(V_2 + V_3)/V = 1/6$ . The orange curve is both  
 542 the stability threshold and the lower limit of probability for self-sustained oscillation in the 4TS model. The  
 543 oscillatory mode is damped in region 1 and growing in region 2, partitioned by the orange curve. The gray area  
 544 corresponds to purely damped or growing regime without the imaginary part in the 4TS model. The dashed  
 545 (solid) contours in (b) show the damped (growing) regime of the oscillation.

546

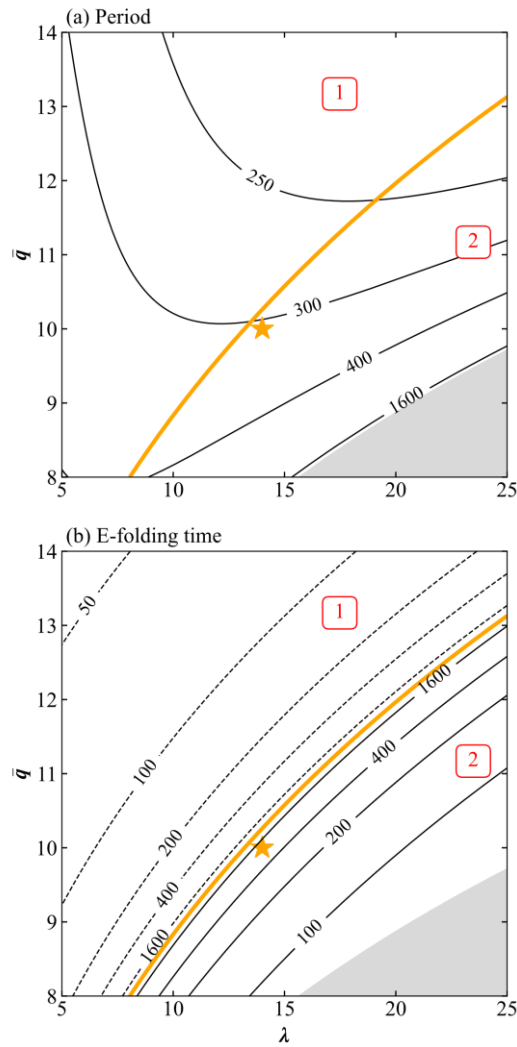


547 We can also explain why the mode in RT97 (although it is a 3-box model) can be easily unstable  
 548 even under a low AMOC sensitivity (equivalent to  $\lambda = 5.7 Sv \cdot kg^{-1} m^3$  in this paper). Their high-  
 549 latitude box represents a small deep-water formation region instead of the subpolar region, so it was  
 550 set to only around 1/100 of the volume of the entire ocean basin. However, their upper ocean is as  
 551 large as 1/4 of the entire ocean basin. Therefore, the low stability seen in RT97 owes to their volume  
 552 configuration, according to our stability analyses.

553

554 *b. Effects of flow properties*

555 Given the meridional difference of density anomaly, the total AMOC strength  $q$  is determined  
 556 by its sensitivity  $\lambda$  to the meridional difference of density anomaly and the equilibrium strength  $\bar{q}$ .  
 557 The period decreases monotonically as  $\bar{q}$  increases (Fig. 9a), reflecting that a faster overturning leads  
 558 to a shorter oscillation period. However, we should note that the oscillation in our model is not strictly  
 559 paced by the transport of anomalies around the depth-latitude plane by the mean flow, contrary to the  
 560 group of loop model studies (Mysak et al. 1993; Winton and Sarachik 1993; Sévellec et al. 2006). In  
 561 our model, when warmer (more saline) water is transported from box 1 to box 2 [this weakens  
 562 (strengthens) the AMOC], cold (fresh) anomaly will simultaneously be advected by  $q'(\bar{T}_4 - \bar{T}_1)$   
 563 [ $q'(\bar{S}_4 - \bar{S}_1)$ ] from box 4 to box 1, without waiting for anomaly to be transported back around the  
 564 boxes. Larger  $\lambda$  and smaller  $\bar{q}$  are both destabilizing factors (Fig. 9b). A larger  $\lambda$  results in more  
 565 intense fluctuation of  $q'$  under the same perturbation of meridional difference of density anomaly,  
 566 contributing to a less stable system. A decreased  $\bar{q}$  weakens the equilibrium advection terms  
 567  $\bar{q}(T'_1 - T'_2)$  and  $\bar{q}(S'_1 - S'_2)$ , thus limiting their destabilizing and stabilizing effects, respectively. The  
 568 latter is more evident due to the dominant role that salinity plays in establishing AMOC variability.  
 569 The combined effect of temperature and salinity advections under a smaller  $\bar{q}$  is to make the system  
 570 more unstable.



571

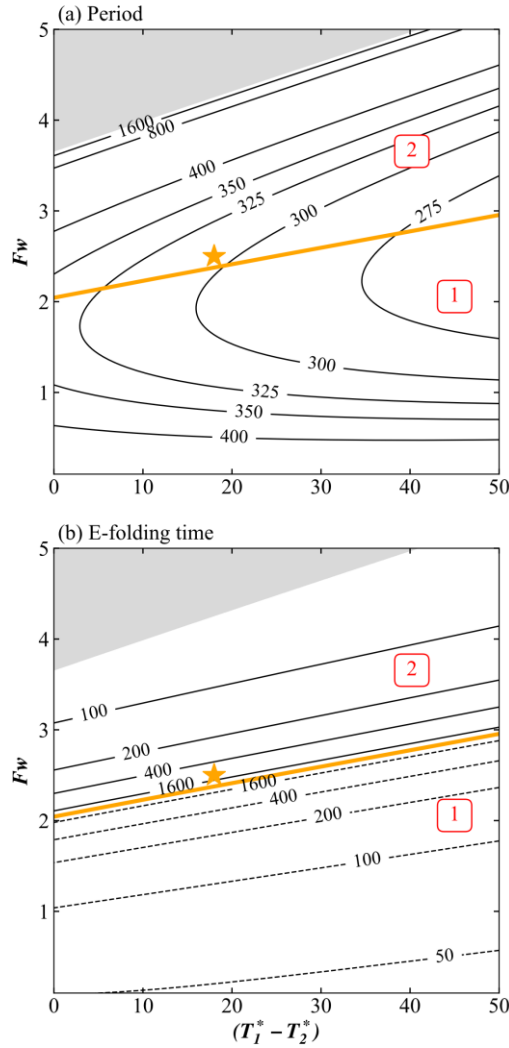
572 FIG. 9. Same as Fig. 8, but the ordinate and abscissa correspond to the equilibrium AMOC strength  $\bar{q}$  (units:  
 573  $Sv$ ) and linear closure coefficient  $\lambda$  (units:  $Sv \cdot kg^{-1} m^3$ ), respectively. The orange star denotes the mode with  
 574  $\lambda = 14 Sv \cdot kg^{-1} m^3$  and the standard value  $\bar{q} = 10 Sv$ .

575

576 *c. Effects of mean meridional difference of equilibrium SST and SSS*

577 From Eqs. (2b) and (8), we see, when keeping other parameters fixed,  $T_1^* - T_2^*$  controls  $\bar{T}_1 - \bar{T}_2$   
 578 while  $F_w$  controls the  $\bar{S}_1 - \bar{S}_2$ . Since it is  $\bar{T}_1 - \bar{T}_2$  and  $\bar{S}_1 - \bar{S}_2$  instead of the individual values for  $\bar{T}_1$ ,  
 579  $\bar{T}_2$ ,  $\bar{S}_1$ , or  $\bar{S}_2$  that influence the system, we should examine the eigenmode sensitivity to  $\bar{T}_1 - \bar{T}_2$  and  
 580  $\bar{S}_1 - \bar{S}_2$  through altering  $T_1^* - T_2^*$  and  $F_w$ . Note that although the standard  $F_w$  in Table 1 is calculated  
 581 through the given  $\bar{S}_1$ ,  $\bar{S}_2$ , and  $\bar{q}$ , we can artificially change  $\bar{S}_1$ , or  $\bar{S}_2$ , or both, to obtain a new  $F_w$ ,  
 582 which actually has the same effect as changing  $F_w$ . Figure 10a shows that the period shortens  
 583 marginally with the increase of  $T_1^* - T_2^*$ , but exhibits a decrease-then-increase tendency as  $F_w$  grows.

584 Smaller  $T_1^* - T_2^*$  and larger  $F_w$  all lead to lower stability (Fig. 10b). From Eq. (8), we derive that  $\overline{T_1} -$   
 585  $\overline{T_2}$  lower as  $T_1^* - T_2^*$  decreases; therefore, the temperature effects are hampered due to the weaker  
 586 negative temperature advection feedback, leading to a longer period and lower stability for the  
 587 system. As for salinity, it can be seen from Eq. (2b) that a larger  $F_w$  increases  $q'(\overline{S_1} - \overline{S_2})$ , so the  
 588 destabilizing positive salinity advection feedback is reinforced, which is consistent with the finding of  
 589 Sévellec et al. (2006).



590

591 FIG. 10. Same as Fig. 8, but the ordinate and abscissa correspond to  $F_w$  (units:  $10 \text{ psu} \cdot Sv$ ) and the meridional  
 592 difference of restoring temperature  $T_1^* - T_2^*$  (units:  $^{\circ}C$ ), respectively. The orange star denotes the mode with  
 593 standard parameter values  $T_1^* - T_2^* = 18 \text{ }^{\circ}C$  and  $F_w = 25.0 \text{ psu} \cdot Sv$ .  
 594

595 **6. Summary and discussion**

596 As the second part of our theoretical studies on AMOC multicentennial variability, this study  
597 complements LY22 by including temperature equations in the box model. Mixed boundary conditions  
598 are employed for surface temperature and salinity. The added thermal processes consist of the  
599 negative temperature advection feedback and the positive restoring advection feedback. The latter is  
600 driven by and never overpowers the former; thus, the resultant temperature feedback is negative as a  
601 whole. Including the thermal processes leads to an increase of oscillation frequency because of the  
602 fast thermal-restoring process, a stabilization force for the system because of the negative temperature  
603 advection feedback, and a second stabilizing effect for the subpolar stratification due to the stabilizing  
604 subpolar temperature stratification.

605 With the overall stabilizing thermal processes included, additional processes are needed to turn a  
606 linearly growing oscillation into a self-sustained oscillation. The enhanced subpolar vertical mixing  
607 raised in LY22 is able to realize a self-sustained oscillation in the 4TS model here. The subpolar  
608 vertical mixing can be overall destabilizing, but is not at odds with LY22, since the subpolar  
609 temperature mixing in this work is destabilizing, and can overcome the stabilizing subpolar salinity  
610 mixing under realistic surface temperature restoring timescale. Additionally, this destabilizing  
611 subpolar total vertical mixing reflects that it is its nonlinear restraining effect instead of the linear  
612 stabilizing/destabilizing effect that leads to the self-sustained oscillation, further complementing and  
613 advancing the theory of LY22. Furthermore, we show that the nonlinear restraining process is not  
614 confined to subpolar vertical mixing, but can also take the form of a nonlinear relation between the  
615 AMOC anomaly and the meridional difference of density anomaly, or even in other forms. The  
616 magnitude of such nonlinearity does not have to be large. Basically, we can generalize the self-  
617 sustained oscillation mechanism in both LY22 and this paper as: “a combination of a linearly growing  
618 oscillation dominated by linear advection and a nonlinear restraining effect,” which is a more  
619 essential expression of the LY22 mechanism proposed in their introduction, namely, “a combination  
620 of salinity advection and enhanced mixing.”

621 Stability analyses reveal that the period and stability of the oscillatory eigenmode are sensitive to  
622 model geometry, flow properties, and meridional difference of mean SST and SSS. Generally, smaller  
623 subpolar and upper ocean boxes tend to shorten the oscillation period; larger subpolar ocean and  
624 smaller upper ocean boxes have stabilizing effects on the system. A stronger mean AMOC shortens  
625 the period due to the faster overturning rate, stabilizing the system through enhancing the stabilizing  
626 mean advection of meridional difference of salinity anomaly, which dominates over the destabilizing

627 mean advection of meridional difference of temperature anomaly. Higher sensitivity of AMOC  
628 anomaly to the meridional difference of density anomaly makes the system less stable. Increasing  
629 surface freshwater flux energizes the destabilizing salinity advection feedback and lowers the system  
630 stability, because the background meridional difference of mean salinity will be stronger. Larger  
631 meridional difference of restoring temperature strengthens the thermal processes; thus, it shortens the  
632 period and increases system stability.

633 The box model is highly idealized, aimed at providing heuristic understanding of the  
634 multicentennial AMOC oscillation. The difference in system behaviors before and after the  
635 permission of temperature variation suggests that too few physics involved in theoretical models may  
636 lead to unrealistic eigenmode. This work can also help us understand the prevalence of centennial-to-  
637 multicentennial AMOC oscillations found in a few pre-industrial control runs using high-order  
638 models (Park and Latif 2008; Delworth and Zeng 2012; Yang et al. 2015; Jiang et al. 2021). Whether  
639 the multicentennial AMOC oscillations found in Earth system models are self-sustained or  
640 stochastically-sustained is obscured by their intricate model physics. However, our box-model study  
641 suggests that a self-sustained oscillation can appear as long as a tiny nonlinearity is included, which is  
642 present in some form in the real ocean. Thereby, we conclude that self-sustained multicentennial  
643 AMOC oscillation has a good chance to exist in high-order models. A more precise mean AMOC  
644 strength simulated in high-order models may improve the simulation of multicentennial AMOC  
645 oscillation, especially its period. For instance, the difference in the oscillation period between Jiang et  
646 al. (2021) and Meccia et al. (2022) (~200 years vs ~150 years), both utilizing the NEMO 3.6 ocean  
647 component, is believed to derive from their different mean AMOC strengths (10.8 *Sv* vs 16.3 *Sv*).  
648 Our stability analyses also suggest that if the strength of mean AMOC decreases in the future, it is  
649 likely that the stability and period of multicentennial AMOC oscillation may be lowered and  
650 lengthened, respectively.

651 The more intense warming at northern high latitude in the context of global warming is also  
652 likely to result in more freshwater hosing in the subpolar North Atlantic (Serreze and Barry 2011; Dai  
653 2022), reducing meridional SST difference while enhancing meridional SSS difference. Therefore,  
654 the negative temperature advection feedback is weakened, while the positive salinity advection  
655 feedback is strengthened. This implies that the multicentennial AMOC oscillation may be less stable;  
656 this also implies that the period of the multicentennial AMOC oscillation is likely to be lengthened in  
657 the future, which has not gained attention yet. However, the period of decadal-to-multidecadal

658 AMOC oscillation is believed to be shortened under global warming scenario based on Rossby wave  
659 dynamics (Cheng et al. 2016; Ma et al. 2021). As global warming persists, more attention should be  
660 paid to how multicentennial AMOC oscillation period would change in the future, since global  
661 warming may occur on the background of a multicentennial oscillation.

662 Finally, this theoretical study can be improved in several aspects. The one-hemisphere  
663 configuration singles out only North Atlantic advection, and contributions from the other ocean basins  
664 are not considered. Extending the one-hemisphere model into an inter-hemisphere one as that in Scott  
665 et al. (1999) and in Lucarini and Stone (2005), or incorporating the Arctic Ocean as in Lambert et al.  
666 (2016), may provide more insightful results. Too few feedbacks are included in our model because of  
667 the ocean-only configuration and mixed boundary conditions. Adding more feedbacks, such as the  
668 meridional moisture transport feedback (Tziperman and Gildor 2002), wind forcing feedback  
669 (Sherriff-Tadano and Abe-Ouchi 2020), and sea ice feedback (Jayne and Marotzke 1999), should  
670 improve the simulation of stability and other characteristics of AMOC oscillation.

671

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675

676 **Data Availability Statement:**

677 This is a theory-based article; thus no datasets were generated.

678

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